# Human Face Pose Estimation based on Feature Extraction Points

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# ABSTRACT

The process of Face Recognition comprises of Face Detection, feature extraction and verification or identification. The extraction and identification are stages in the FR process. Many face recognition algorithms have been developed. This has resulted in development of manifold robust techniques such as background removal, illumination normalization and others which support the algorithm to withstand the undesirable effects and improve the success rate. This paper provides a survey and method for face pose estimation. This method is based on feature extraction points of two different face poses and then matched points between these two face poses will give the results. This method is one of the simplest methods for low resolution images.

#### **General Terms**

Face Recognition, Face pose, Feature Points.

# Keywords

Feature extraction, low resolution, roll, pitch, yaw, orientation.

# **1. INTRODUCTION**

In the last two decades, face pose estimation becomes active field of research. During this period, the main aim of researchers has become innovative. Previous works has been able to identify some predefined poses. In the recent years, the problem of human interface has been very important in research fields such as computer vision, human identification, gaming, other medical services etc. therefore many researcher works in this field in order to investigate and recognize human interface issues. Among these entire problems, face recognition system gets great attention.[1] This recognition system includes face detection and identification and face pose estimation. Generally, this system relies on feature based methods. Nowadays, face pose estimation achieves precision and less feature points which can enhance the estimation speed. Various techniques can be categorized into three parts: appearance-based approaches. (2) model-based (1)approaches. (3) Video streams based approaches. In appearance based approach, evaluate the face pose through machine learning. In model based approaches, it evaluates face poses through the relationship between facial feature points and face rotation.

Face recognition (FR) is a challenging issue owing to its complexity and costly usage in applications in fields such as forensics, vigilance, Law enforcement, user access, human computer interaction and for various other security purposes. Many commercial systems thus use face recognition. The process of Face Recognition consists of Face Detection, feature extraction and verification or identification. The extraction and identification are stages in the FR process.

Many face recognition algorithms have been developed. However due to position, pose, noise and variations in the input image, the success rate of the algorithms is not deterministic. This has resulted in development of innumerable robust techniques such as background removal, illumination normalization and others which support the algorithm to combat the undesirable effects and improve the success rate.[2] Feature extraction using block based DCT involves dividing the image into blocks of uniform size and isolating the most relevant features of each block. The DC component or low frequency components contain the maximum relevant information useful for face recognition with illumination variation effects, while the high frequency components correspond to details like edges and expressions which are vulnerable to pose and expression variations. In face pose estimation, some feature points are chosen such as nose tip, pupil, and center line of mouth. In these feature point some factor may be considered: i. When the expression of face changes, then the position of pupils changes, but there will be no change in the nose tip. ii. The three points are high brightness in infrared images .iii. Three points are visible only when yaw and pitch have larger angle. The error occurs in face pose estimation when their will be a change in the distance between the camera and face and change in rotation. To remove this error, face normalization is required.[3] Usually, edge information of mouth and nose is higher than the other .the integral value of nose and mouth area is large and area between them is small. Then it can estimate nose tip to determine the center line of mouth, initially mouth area is required only then center line of moth can be estimated.

Some face recognition algorithms identify features by extracting landmarks, or features, from an image of the subject's face. For example, an algorithm may determine the relative position, size, and/or shape of the eves, nose, cheekbones, and jaw. These features are then used to search for some other images with matching features. Other algorithms normalize the different face images and then compress these face images with respect to face data, only saving the data in the image that is useful for face recognition. An enquiry image is then compared with the face data. One of the earliest successful systems is based on matching techniques of template that are applied to a set of some facial features, providing a sort of compressed face representation.[4][5] Recognition algorithms can be divided into two main approaches, first is geometric, which look at distinguishing features, and second is photometric, which is a statistical approach that refine an image into values and compares the values with templates in order to eliminate variances.[11]

Basically for evaluation of various face poses different techniques are used. But feature extraction method is one of

### 2. RELATED WORK

**Jiaolong yang** [1] proposed an approach for face pace estimation. This is based on the combined 2D and 3D histogram of oriented gradients features. In this paper, multilayer perception network is developed for the estimation of the face orientation. The results of this experiment indicate that this method is very effective.

**Bing-Fei Wu**[3] presented active appearance model. This approach depends on the K-nearest neighbor classifier for face recognition system. The proposed model has various variations and third model also termed as deformable model. Active appearance model is used to evaluate the non-rigid visual objects. These visual objects are described using mean vector and linear combination of set of variation modes.

In this paper **Pedro jimenez** [3] discussed automatic 3D model. In this work, real time face pose estimation has been performed under some special conditions. This 3D model is combination set of 3D points which is derived from the stereo gray-scale images. This newly proposed model totally depends on the automatic and runtime incremental 3D face modeling. The final result indicates the precise sparse 3D model.

**F. Dornaika** [4] proposed a sensitive embedding approach. In this work, Sparse Label sensitive Locality Preserving Projections (Sp-LsLPP) has been presented. The result is compared with various other linear and non-linear approaches and confirms that the proposed approach can outperforms.

**Deqiang Li** [5] in this paper central profile based 3D face pose estimation method has been presented. Out of the other technique has been presented to investigate the nose point .this is detected by nose model matching algorithm. Furthermore, pitch angel estimation has also been presented in this paper. FRGCv2.03D database illustrate the accuracy and precision of the proposed algorithm.

**Xiaoni liang** [6] presented a technique to estimate the pose of faces in infrared images. This approach extract nose tip, center line of mouth and pupil automatically. These features are used to estimate the pose rotation of pitch. This technique uses less processing time for each image.

**Robert Niese** [7] proposed real time face estimation using kinect camera. This approach is accurate, fast and robust. Also, iterative closest point algorithm is implemented in this approach which uses depth sensor in order to create user specific model. The results demonstrate that this method is accurate among other approaches.

In this paper **Maria Pateraki**[8] presented visual estimation of pointed targets. This technique used Dempster-shafer theory. The experimental result proves the effectiveness of method in real world.

**Wuming Zhang** [9] proposed 3D face recognition system. This approach has been presented through the progressive pose estimation. In this work, random forest is developed in order to deriving 3D models .moreover; 3D morphable model has also been presented which is very effective and less time consuming approach.

**Xiangxin Zhu** [10] in this paper model for face pose estimation, face detection has been presented. This model is based on the combinations of trees. The result demonstrates that tree structured model has been effective at capturing elastic deformation.

## **3. PROPOSED WORK**

The method used in this paper is based on face feature extraction points. In this method, firstly eigen features of both the faces which are in different poses evaluated. The low resolution images can be given as in Fig 3.(a).



Fig 3.(a) Different poses of face

As shown in the fig, the first face is showing the frontal pose while the second one is showing the face with an orientation. This orientation can be measured with three angles which are roll, pitch and yaw. These orientations are shown in fig 3.(b)

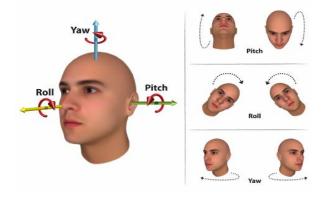


Fig 3.(b) Orientations of face

Now talking about feature points of different face poses, eigen features are detected first. This can be seen in the fig 3.(c).



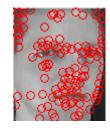


Fig 3.(c) Face feature points of two different pose

After detection of these points, orientation of pose must be evaluated. Now for this evaluation, both the poses should have some relation. This can be done with the help of matching points between two face poses. These matching points will match the features itself. This is shown in fig 3.(d)

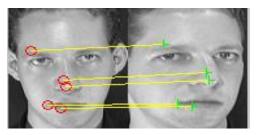


Fig 3.(d) Matched features between two face poses

Now it is easy to measure the orientation from one pose to another. This measurement is done in terms of angles. After decomposition of rotation of face pose, matching points have three angles which are roll, yaw and pitch as discussed earlier. The results can be observed in next section.

## 4. **RESULTS**

The results of orientation of the human face pose in this paper are evaluated in the form of radians (angle). The results can be given in table

S. no	Variation of poses	Roll (in radians)	Pitch (in radians)	Yaw (in radians)
1	Front to left	-1.5436	0.0245	0.4675
2	Front to right	0.7433	-0.1345	-1.3523
3	Front to upper	0.0678	1.2859	-0.0865
4	Front to down	0.2648	-0.8532	0.0709

Table 1. Results of variation of different face poses.

The above shown are the results of variation of different face poses.

# 5. CONCLUSION

The work presented in this paper has main advantage of measurement of orientation of face poses. As there are no bounded limits regarding measurement of roll, pitch and yaw angle. So it is one of the main advantages. But the angle measured is in the form of radians instead in degrees. This method can also be adapted to video sequences for situations such as human interaction modelling, video surveillance and intelligent environments. This can also be improved by removing more and more feature points. There is still much work to be done and large scope of improvement in the estimation as the current techniques have some limitations.

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