

# A Rayleigh Backscattering Noise Resilient and Cost Effective Single Fiber WDM-PON Scheme using DQPSK and Intensity Re-modulation Technique

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## ABSTRACT

In this research work, we propose and simulate a Rayleigh backscattering noise-resilient and cost-effective scheme of standard single mode SSM, single fiber bi-directional optical access network using wavelength division multiplexed passive optical network (WDM-PON) technology. A differential quadrature phase shift keying (DQPSK) optical signal is used at optical line terminal (OLT) for downstream (DS) communication and intensity re-modulation technique is used at optical network unit (ONU) in upstream (US) optical signal, while using centralized laser source and no additional laser at terminals. Simulation setup is prepared in Opt-system 13 and results show that on the aggregate 100 Gbps downstream transmission and 25 Gbps upstream communications for 10 ONUs can be successfully achieved over a longer bidirectional standard single mode fiber (SSMF). It is also observed that proposed single fiber based bidirectional WDM-PON has lower transmission power losses while ensuring high resilience against Rayleigh backscattering (RB) noise and improved receiver sensitivity in both directions of transmission. (Abstract)

## Keywords

Centralized Light Source; Wavelength Division Multiplexing Passive Optical Network (WDM-PON); Rayleigh Backscattering; Differential Quadrature Phase Shift Keying (DQPSK) Inverse Return-To-Zero (IRZ); Receiver Sensitivity; Optical; communications; Noise mitigation insert (key words)

## 1. INTRODUCTION

Due to the rapid growth of triple play service and bandwidth-hungry applications on the Internet, various structures of optical access network have been proposed to realize fiber to the home (FTTH) service. Among various optical access networks, wavelength division multiplexing passive optical network (WDM-PON) is regarded as one of the most promising scheme to accord with the rapid growth of bandwidth requirement [1]. As per Cisco forecast project, during 2015 and 2020 global internet traffic will grow at a compound annual growth rate (CAGR) of 22 percent from 2015 to 2020. Video traffic will be 82 percent of all consumer Internet traffic by 2020, up from 70 percent in 2015 [2]. Currently, high quality internet services like video calling, video conferencing, high definition television (HDTV), 3-D videos, and other services have become quite prevalent. In this regard, Wavelength division multiplexing passive optical networks (WDM-PONs) are recognized as promising candidate in next generation access (NGA) networks, to meet the current and future bandwidth demands of explosive growth of internet traffic in access network. Moreover in WDM-PON, high capacity services can be accessed by every

subscribers, each optical network unit (ONU) has dedicated bandwidth which can be readily scalable as per requirement, traffic restoration, system and network capacity can be upgraded, centralized laser source and re-modulation features can be achieved to deploy a cost-effective access network. [3]. Deployment cost of the WDM-PON can further be reduced by using a single fiber for both downstream and upstream in bidirectional transmission [4]. When a single fiber is used for bidirectional transmission in loop-back structure, Rayleigh backscattering (RB) noise is inevitable and induces serious interference in upstream direction [5]. Rayleigh backscattering (RB) noise and induced due to the intrinsic nature of the fiber with microscopic fluctuations of refractive index This drawback directly limits both the transmission distance and receiver sensitivity. There are two kinds of RB noise in single fiber loop-back structure [6]. They are the carrier RB noise and the signal RB noise. Carrier RB noise is generated from beating between the upstream signal and the reflected downstream signal of the same wavelength There are two sources of RB noise generation in standard single mode fiber (SSMF) bidirectional WDM-PON, first one is backscattered light of downstream optical source and other one is backscattered light of up- stream modulated signal. The first source of RB noise can influence both downstream as well as upstream and the second source of RB noise can influence upstream more severely. In reflective silicon optical amplifier (RSOA) based single fiber bidirectional WDM-PON, the upstream transmission is more affected because backscattered light is re-amplified at ONU by RSOA. Hence, in single fiber centralized laser source WDM-PON, RB noise causes more degradation in upstream transmission due to influence of both RB noise sources [7].

Recently, several techniques have been reported in centralized laser-source WDM-PON for downstream and upstream transmission on single-fiber to suppress the R.B. noise induced effects. R.S.O.A. based WDM-PON techniques has been proposed for enhance performance by minimizing R.B. noise such as, applying chirping-clipping effects [8], using multi-wavelength source in a service-ONU [9], a novel DPSK downstream and re-modulated OOK upstream [10], pulse broadening by chirped R.Z. modulation [11], cross-seeding scheme in WDM based NGA Q.Guo, noise predictive equalizer with noise canceller for RSOA based WDM-PON [12] and signal re-modulation ring with RB interferometric noise mitigation in WDM-PON [13]. Further techniques based on carrier-distributed WDM-PONs using in-band optical filtering[13], line coding with electrical filtering in PON networks [14], high extinction ratio (ER) IRZ downstream and phase re-modulated DPSK upstream [15] and DPSK downstream and OOK intensity re-modulated up- stream [16] have also been proposed to reduce the influence of RB induced noise in WDM-PON. Although, enhanced resilience

against RB induced noise has been observed by these techniques but they reduce effectiveness due to one or more of these reasons, either design complexity, additional components requirements, high deployment cost, limitations of data rate or low receiver sensitivity in downstream and upstream. Furthermore, employing dispersion compensated fiber (DCF) and erbium doped fiber amplifier (EDFA) are not preferred for the cost effective architecture in 20-25km reach PON.

In this paper, we propose and demonstrate a cost-effective and Rayleigh-backscattering noise-resilient design of single-fiber centralized light-source bidirectional WDM-PON with improved receiver-sensitivity. A 10 Gbit/s DQPSK data signal is used at O.L.T for downstream without pulse carving, whereas a 2.5 Gbit/s IRZ data signal is used for upstream signal by intensity-re-modulation of downstream signal, no additional laser is used at ONU. Resilience against R.B. induced noise for I.R.Z. upstream signal can be achieved by reducing modulation-index of downstream DQPSK signal. Simulation results show that aggregated 100 Gbit/s downstream transmissions of 10 DQPSK channels and aggregated 25Gbit/s upstream-transmission of 10 I.R.Z. channels, using 100 GHz channel spacing, can be successfully achieved in 25 km bidirectional S.S.M.F. Further it is observed that proposed single-fiber based bidirectional WDM-PON has lower transmission power-penalties which ensure high resilience against R.B. induced noise and also improved receiver-sensitivity is obtained in both directions of transmission. As compared to previous works, DQPSK is advanced modulation technique for multi-level, spectrally-efficient and high data-rate transmission. Since it transmits 2 bits per symbol therefore only half spectral occupancy is required than DPSK. The rest of the paper comprises these sections. Section 2 explains working principle and architecture, section 3 describes simulation setup, section 4 covers transmission performance analysis and finally conclusions.

## 2. PRINCIPLE AND SYSTEM SETUP

Principle of the proposed carrier RB noise mitigation scheme is illustrated in Fig. 1. When continuous wave (CW) seeding light is used in downstream direction, upstream signal and the reflected downstream signal will interfere and beat in the low frequency region, as illustrated in the RF spectrum in Fig. 1. A thicker red arrow is used to indicate upstream signal modulation, while a thin black arrow is used to represent the carrier RB noise. In order to suppress this low frequency interference, microwave frequency  $f$  GHz is modulated onto the downstream wavelength to generate a multi-subcarrier downstream signal. Owing to the gain saturation effect of cascaded-SOA, central carrier of the upstream signal is suppressed and this avoids interfering with the reflected downstream central wavelength at low frequency region. However, interference of the RB noise with other subcarriers is increased and this interference appears at frequencies that are the integer multiples of  $f$  GHz (e.g.  $f$  GHz,  $2f$  GHz). Thus, a two-tap MPF with periodical notches at  $f$  GHz can be used to suppress those enhanced high frequency interference as well as the residual  $f$  GHz microwave signal in the upstream direction.

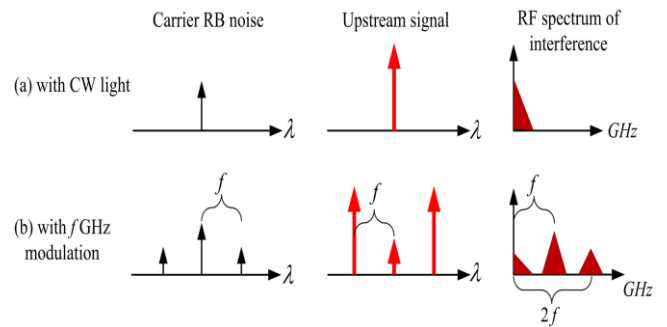


Fig. 1. Theory of carrier RB noise mitigation techniques.

The proposed loop-back WDM-PON system is shown in Fig. 2. In single fiber bidirectional transmission system, Rayleigh backscattering noise can be reduced by using different wavelengths in upstream and downstream directions. However, this approach increases both the cost and complexity for operation and maintenance. In our system, the downstream seeding lights are generated by the CW laser sources named LD 1 to LD  $n$ . All the downstream seeding lights are multiplexed at the arrayed waveguide grating (AWG) and are then modulated by an MZM with a fixed frequency at  $f$  GHz. A modulated signal with multi-subcarrier is generated. After transmitting through a 45-km SMF, the downstream seeding lights are de-multiplexed at the remote node (RN) by an AWG and are sent to the corresponding ONU. A 0.8 km SMF is connected between RN and ONU.

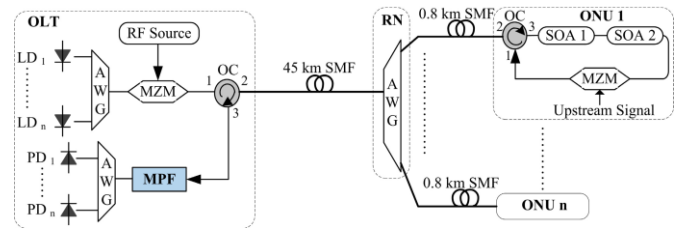


Fig. 2. Proposed setup of the 10-Gb/s loop-back WDM-PON. LD: laser diode, AWG: arrayed waveguide grating, OC: optical circulator, MZM: Mach-Zehnder modulator, RF source: radio frequency source, SMF: single mode fiber, SOA: semiconductor optical amplifier, MPF: microwave photonic filter, PD: photodiode.

In the ONU, the seeding light is first passed through the cascaded-SOA for central carrier suppression, and then the upstream data is modulated onto the carrier-suppressed signal by an MZM. With the help of photonic integrated circuit (PIC) technology, 10Gb/s low cost MZM can be monolithically integrated with SOA easily. This monolithically photonic integrated circuit improves the system cost, size and power consumption to bring better support for realizing cost-effective optical access network [17, 18]. The upstream signal is then transmitted back to the OLT via an optical circulator for receiving. In order to suppress the residual  $f$  GHz microwave signal and the increase RB interference at  $f$  GHz, the upstream signal is first launched to a microwave photonic filter that has a deep notch at  $f$  GHz before detecting with a photodiode (PD). Due to the wavelength independent characteristic of MPF, all upstream channels can share this MPF to remove residual interference noise without increasing system cost. Unlike MZM based carrier suppression scheme that is sensitive to bias drifting, our carrier suppression scheme utilizes gain saturation effect in SOA and is more stable over time and less sensitive to environmental temperature change. Furthermore, polarization

independent central carrier suppression approach can be realized if polarization independent SOA is used in our system.

### 3. WORKING PRINCIPLE AND PROPOSED ARCHITECTURE

Before when cascaded-SOA is used to realize central carrier suppression, the resultant central carrier suppression ratio (CCSR) depends on the gain saturation effect of SOA. Compared to single stage SOA, the overall gain saturation effect is strengthened by the cascaded-SOA structure [19]. It has been shown that a better high-pass filtering effect can be achieved by the strengthened gain saturation effect in cascaded-SOA [20]. In our scheme, we make use of this phenomenon such that a high suppression ratio for baseband frequency of the microwave modulated signal is achieved. Therefore, central carrier of the multi-subcarrier optical signal is suppressed effectively after passing the cascaded-SOA. To evaluate the high-pass filtering effect, we measure the optical-to-optical frequency response curve of cascaded-SOA under different input optical power and use single stage SOA for comparison, as shown in Fig. 3 (a). The bias currents for SOA 1 and SOA 2 in cascaded-SOA structure are 280 mA and 380 mA, respectively. In single stage SOA, the bias current is fixed at 380 mA. As shown in Fig. 3 (a), the low frequency suppression ratio is enhanced significantly as the input optical power increases from  $-12$  dBm to  $-4$  dBm. When the input optical power is at  $-4$  dBm, baseband suppression ratio is over 45 dB, which provides a high suppression ratio for the central carrier. Compared to single stage SOA, baseband suppression ratio is improved by  $\geq 25$  dB under the same input power ( $-4$  dBm). Since the total gain saturation effect is enhanced by the cascaded-SOA, carrier lifetime of SOAs are reduced which corresponding to a deeper filtering curve in low frequency region. In our experiment, the downstream signal power is fixed around 1dBm and the input optical power to the cascaded-SOA is about  $-8$  dBm after transmitting through the 45 km SMF. According to Fig. 3 (a), a baseband suppression ratio of 25 dB can be achieved.

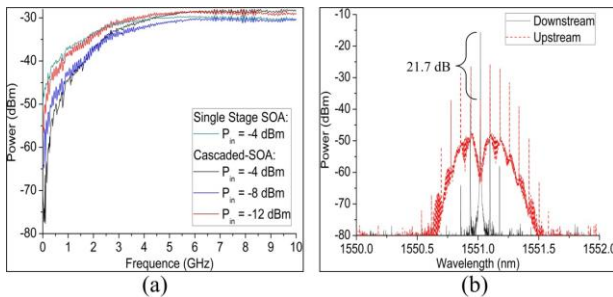


Fig. 3. (a) Saturation graphs of one stage SOA and cascade-SOA, (b) Related optical spectra.

The comparison of optical spectra between downstream and upstream signal is shown in Fig. 3 (b). Modulation frequency of the radio frequency (RF) source in OLT is fixed at 10 GHz, and the upstream data is a 10 Gb/s 231-1 pseudo random binary sequence (PRBS) signal. Compared with the original downstream multi-subcarrier signal, central carrier of the upstream signal is suppressed by 21.7 dB through the use of cascaded-SOA in ONU. Figure 4 (a) and (b) are used for measuring OSRNR and BER performances, respectively. In Fig. 4 (a), CW light at 1551.02 nm and optical power of 13 dBm is used as the downstream light. A 10 GHz microwave signal is modulated onto this CW light through an MZM as a multi-subcarrier downstream seeding light. The downstream

signal is split and combined using two optical couplers to mimic beating interference between the carrier RB noise and the upstream signal. In the lower branch, carrier RB noise is generated by launching the signal into a 45-km SMF through an optical circulator. The carrier RB noise is amplified by erbium doped fiber amplifier (EDFA), while the corresponding amplified spontaneous emission (ASE) noise is filtered by an optical band pass filter. Since beating is a polarization sensitive phenomenon, a polarization controller is used to maximize the beating between the downstream and upstream signal. In the upper branch, we use cascaded-SOA structure to generate the central carrier suppressed signal. SOA 1 is a linear optical amplifier (LOA) and SOA 2 is a nonlinear SOA (NL-SOA). The LOA is mainly for linear amplification of the input signal and NL-SOA is mainly for gain saturation effect. A 10 Gb/s 231-1 PRBS upstream signal is modulated onto the carrier suppressed signal using an MZM. Optical spectrum of the generated upstream signal is shown by the red curve in Fig. 3 (b).

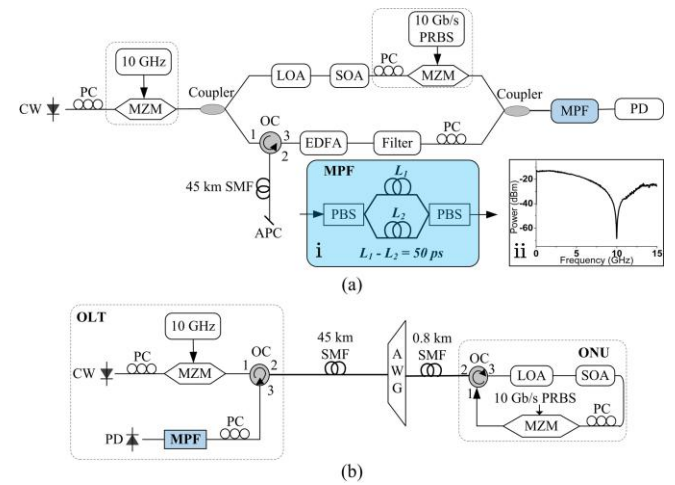


Fig. 4. Experimental setup (a) OSRNR and (b) BER performances. CW: continuous wave, OC: optical circulator, MZM: Mach-Zehnder modulator, SMF: single mode fiber, APC: angled physical contact, LOA: linear optical amplifier, SOA: semiconductor optical amplifier, PC: polarization controller, EDFA: erbium-doped fiber amplifier, MPF: microwave photonic filter, PD: photodiode. Insets of Fig. 4 (a): (i) systematical setup of MPF; (ii) frequency response of MPF.

To further improve the system performance, a MPF is placed before the receiver to suppress the residual microwave carrier and RB noise at around 10 GHz. The MPF structure is shown in the inset i of Fig. 4 (a), which consist of two polarization beam splitters (PBSs) and fiber delay lines. The path length difference ( $L_1 - L_2$ ) is fixed at 50 ps which corresponding to a 10-GHz notch filter in radio frequency domain. The MPF provides over 40 dB of suppression at 10 GHz (inset ii of Fig. 4 (a)). MPF can be made to be polarization insensitive [21] by utilizing single-mode to multi-mode combiner [22] instead of PBS. Using the experimental setup as shown in Fig. 4 (a), we also study both the low frequency and high frequency beat noise in our system experimentally. For comparison, we first investigate the beating effect between a CW seeding light (upper branch) and the RB noise (lower branch) without applying any RB noise mitigation scheme (i.e. without 10 GHz modulation using the MZM and cascaded-SOA). Red curve in Fig. 5 (a) is the measured RF spectrum showing the level of beat noise at low frequency range from 0 GHz to 0.2 GHz. With our RB noise mitigation scheme, the low

frequency beat noise is shown by the green curve in Fig. 5 (a). CCSR of the carrier-suppressed signal is 21.7 dB with saturation output power at 10 dBm. Power of the carrier RB noise is  $-12$  dBm that corresponds to 22 dB of OSRNR. Compare with the case when CW seeding light is used, low frequency interference from 0 GHz to 0.2 GHz is suppressed efficiently using the proposed central carrier suppression scheme. Our central carrier suppression scheme significantly reduces the power of the central carrier (CCSR of 21 dB), resulting in a suppression of low frequency interference. On the other hand, due to the high-pass filtering effect of cascaded-SOA as shown in Fig. 3 (a), high frequency carriers of the multi-subcarrier signal are enhanced by the cascaded-SOA. Thus, interference at high frequency region is increased after passing through the cascaded-SOA, especially at 10 GHz where the modulation frequency is located. Figure 5 (b) shows the measured RF spectra at 10 GHz. Without applying RB noise mitigation scheme (i.e. CW seeding light is used without 10 GHz modulation and cascaded-SOA), lowest noise level at 10 GHz is achieved as shown by the red curve. When multi-subcarrier signal is used to realize central carrier suppression, high frequency interference occurs and is amplified, resulting in a strong 10 GHz RF tone with power above  $-20$  dBm (blue curve). With the utilization of MPF with a transmission notch at 10 GHz, the residual 10 GHz RF signal is suppressed by 30 dB (black curve).

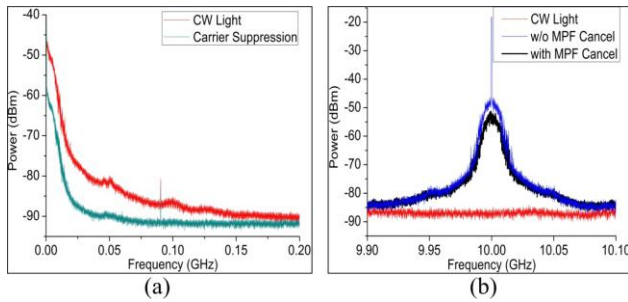


Fig. 5. (a) Low frequency electrical spectra, (b) High frequency regions.

Figure 6 (a) shows the measured power penalty of the upstream data at BER of  $10^{-9}$  as a function of OSRNR by using the experimental setup depicted in Fig. 4 (a). When CW light is used as the downstream light without data modulation, power penalty at BER of  $10^{-9}$  is 1 dB as OSRNR reaches 22 dB (green inverted triangle curve). At this point, the receiver sensitivity is limited by the severe RB noise. With the proposed central carrier suppression scheme, error free transmission with no power penalty is achieved at OSRNR of 19.5 dB and CCSR of 8 dB (red circle curve). The RB noise tolerance is improved greatly by further increasing the CCSR value. When CCSR reaches 21 dB, error free transmission with no power penalty is achieved when OSRNR is 15 dB. Compared with the power penalty at 8 dB CCSR, RB noise tolerance is improved by 4.5 dB when CCSR is at 21 dB. That is to say, low frequency interference is reduced with an improvement in upstream RB noise tolerance through the increase of central carrier suppression. Through using the experimental setup illustrated in Fig. 4 (b), the corresponding upstream BER performance is measured as shown in Fig. 6 (b). When CW light is used without modulation, error floor is observed in the BER measurement of the upstream signal and the corresponding eye diagram is shown in Fig. 6 (b) i. For an upstream signal with CCSR of 21 dB, receiver sensitivity of  $-24$  dBm is obtained (without using MPF). The corresponding eye diagram is shown in Fig. 6 (b) ii. Noise is found in the eye

diagram due to the presence of residual downstream 10 GHz signal. With the use of MPF for suppressing the residual 10 GHz signal and residual RB noise, receiver sensitivity is improved by 6 dB. A widely open eye diagram is resulted as shown in Fig. 6 (b) iii.

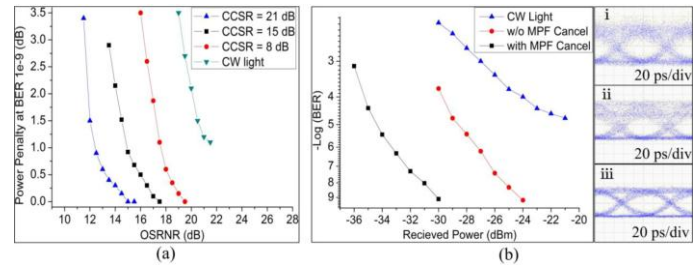


Fig. 6. Observed OSRNR graphs (a) BER curves (b) Related eye diagrams (right side i ~iii).

#### 4. SIMULATION SETUP AND OPERATION

The power budget analysis of the proposed system is also carried out, as shown in Table 1. In DS direction, the launching power output from the EDFA is set to be 8 dBm. The total loss is 18.5 dB, including the power losses induced by an IL (1 dB), 25-km SMF transmission (5 dB), an optical circulator (0.5 dB), an AWG (5 dB) and an 80:20 OC (7 dB for the 20% port). In US direction, since the output power of ROSA is decided by the power of input DS signal, we consider the case that the injected power is fixed at  $-12$  dBm and the RSOA has a saturated output power of 7.5 dBm. The total loss for US signal is 18 dB since the 80% port of 80:20 OC (1 dB) is connected with the RSOA and two  $1 \times N$  AWGs as well as two circulators are traversed. The power margins for DS and US transmission are 19.3 dB and 20.2 dB respectively. Therefore, the proposed system could support larger transmission scope. We can also find that a 1 : 64 splitting ratio for each channel can be supported for hybrid WDM/TDM access. Note that when power splitter for TDM fashion is introduced, the DS signal power injected into the RSOA may be lower than the gain-saturation regime. This problem can be solved by using an additional SOA before the input of RSOA [23], [24].

Table 1. Power budget calculation for DS and US signals

Element for power Budget	DS	UP
Launching power after EDFA (dBm)	8	-
Injected power into RSOA (dBm)	-	-12
ROSA saturated output (dBm)	-	7.9
Inter lever insertion loss (dBm)	1	1
25 km SMF loss (dBm)	5	5
Optical circulator insertion loss (dBm)	0.5	0.5×2
1×N AWG insertion loss (dBm)	7(20% port)	1(80% port)
Total insertion loss (dBm)	18.6	18.1
Receiver sensitivity (dBm)	-29.9	-30.8
Power margin (dBm)	19.4	20.2



Simulation setup and operation of proposed single fiber centralized light source bidirectional WDM-PON is shown in Fig. 7. In the central office (CO), 10-Gbps pseudorandom bit stream (PRBS) data of order 27-1 is used for 10 DQPSK channels downstream transmission, using ITU-T grid 100 GHz channel spacing, having launch power 5 dBm generated by distributed feedback (DFB) laser sources ranging from 193.1 THz to 194.0 THz. The channels are multiplexed and transmitted over 25km bidirectional standard single mode fiber (SSMF) as per Table-II.

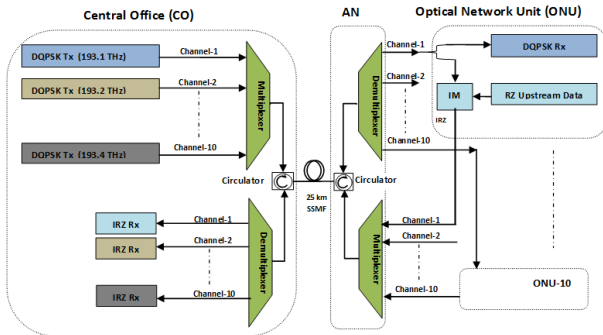


Fig. 7: Simulation & operational setup of proposed 10 channels trans/receive WDM-PON

Table 2. Parameters used for simulation

SSMF Parameters	Values
Dispersion parameter	16.75 ps/nm/km
Dispersion slope	0.075ps/nm <sup>2</sup> /km
Attenuation Coefficient	0.2dB/km
Effective core area	80um <sup>2</sup>
Non Linear index-coefficient	2.6x10 <sup>-20</sup>
Rayleigh backscattering	5x10 <sup>-5</sup> /km

Optical spectrum of 10 multiplexed downstream channels is shown in Fig. 8. After de-multiplexing, channels are fed in ONU, where each channel is split in two parts by optical splitters. One part of the signal is fed to the DQPSK receiver for demodulation of downstream data and the other part is fed in upstream transmitter, in which downstream signal power is used for the re-modulation of IRZ data via intensity modulator. In this way 10 upstream channels from ONUs are multiplexed and transmitted over 25km SMF (without DCF as was in [25]) towards OLT in central office (CO), optical spectrum is shown in Fig. 9. 10 IRZ upstream channels are also multiplexed using ITU-T grid 100 GHz channel spacing. At central office (CO), a simple PIN diode based IRZ receiver is used for upstream data reception for every wavelength.

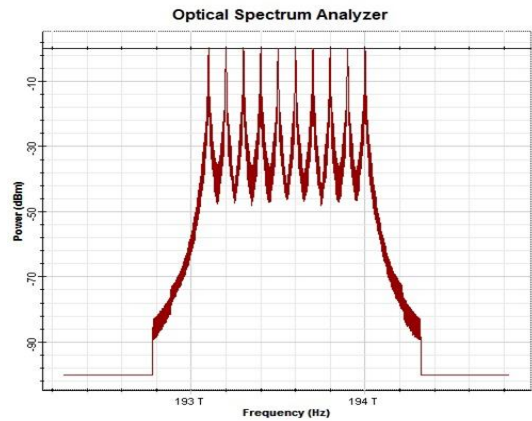


Fig. 8: Optical spectra of 10 DQPSK channels

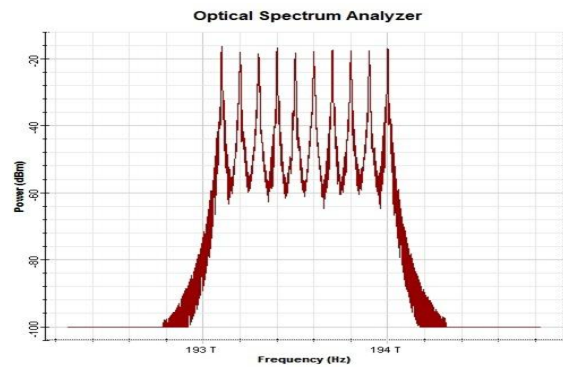


Fig. 9: Optical spectra of 10 IRZ channels.

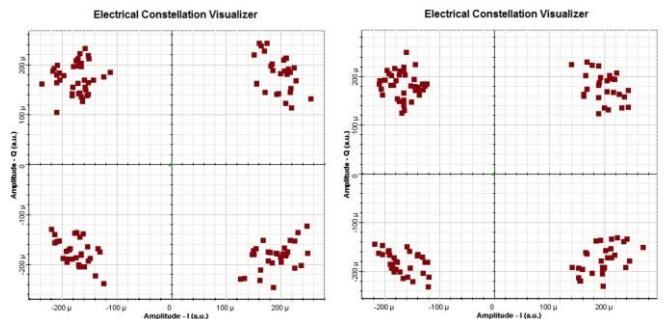


Fig. 10: Constellation chart for DQPSK channel 4 and 7

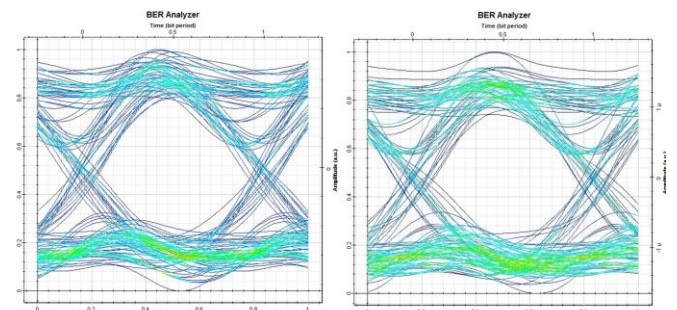


Fig. 11: Eye diagrams of DQPSK- I channel 4 and 7.

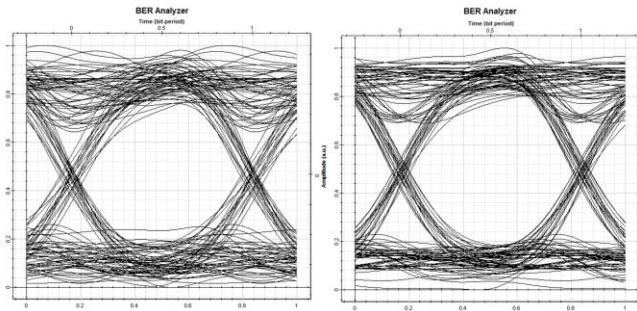


Fig. 12: Eye diagrams of DQPSK-Q channel 4 and 7.

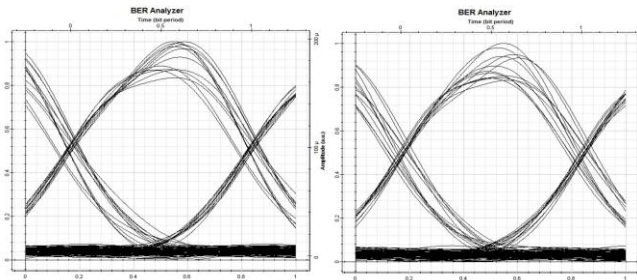


Fig. 13: Eye diagrams of IRZ channel 4 and 7.

## 5. CONCLUSION

In this paper, we have investigated carrier RB noise suppression performance by realizing central carrier suppression with cascaded-SOA. 10 DQPSK channels of 10 Gbit/s data rate are successfully transmitted in downstream without pulse carving, EDFA and DCF, whereas 10 IRZ channels of 2.5 Gbit/s data rate are successfully transmitted for upstream signal by re-modulation of downstream signals, no additional lasers have been used at optical network units (ONUs). Simulation results show that aggregated 100 Gbit/s downstream transmissions of 10 DQPSK channels and aggregated 25 Gbit/s upstream transmissions of 10 IRZ channels, using 100 GHz channel spacing, can be successfully achieved over a distance of 25 km. Further it is observed that proposed single fiber based bidirectional WDM-PON has less than 1.5 dBm transmission power penalties in all cases, which ensures high resilience against RB induced noise and also improved receiver sensitivity is obtained for all channels in downstream as well as upstream transmission.

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