Classification of Histopathological Images based on Modified Clump Splitting Approach

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ABSTRACT

The current research work aims to propose an improved clump splitting approach to classify breast cancer lesion based on extracting shape features. Identifying the number of benign and malignant nuclei in a given area of histopathological tissue is very important for the automated grading system. This process becomes difficult due to irregular size and shape of the nuclei leading to clump formation. Therefore, a major challenge lies in accurately separating these nuclei for further processing. Towards this end, there has been a well-focused research on accurate identification and extraction of nuclei based on concavity analysis. From exhaustive experimentations, it is observed that concavity based approaches pose several limitations: like identifying the concave point pair and selecting the valid split lines. Further, It is also observed from the literature that either region or edge based segmentation is the most commonly used method for segmenting nuclei. Experimental analysis showed that under or over-segmentation is the common problem with region-based methods. Since poor, unclear edges, noise and other artefacts are inevitable in histopathological images, the edge based method does not perform well. Therefore in this research work, a combination of both edge and region-based nuclei segmentation is proposed. The performance measure of the proposed method is evaluated on a dataset consisting of 1820 histopathological images. Further, in comparison with the existing methods, the proposed method showed the improved accuracy of 86%. Also, it is clearly seen from the ROC curve that the non-linear SVM outperforms other classifying methods.

Keywords

Histopathological Images, clumps, Shape features, nuclei extraction, Digital Pathology

1. INTRODUCTION

One of the recent articles on cancer statistics shows an alarming rate of increase in cancer cases during 2015 [1]. There has been a rough estimate of 1.6 million new cancer cases diagnosed and 0.6 million cancer deaths in the US, alone. In India, Breast cancer (BC) is one of the major cause of morbidity and mortality in women. Recent trends in [2] have shown a significant rise in the number of BC patients, in India, especially in the younger age group. The statistics show that nearly a quarter of all cancers cases in females are BC. Therefore an early diagnosis and accurate cancer grading help oncologists schedule a suitable treatment plan.

Traditionally, pathologists grade tissue slides by observing characteristic changes at both the cellular and tissue level. Further, important biomarkers are identified that best discriminate benign from the malignant samples. Recently, several research groups [3, 4, 5, 6] have identified gene expression biomarkers for grading tissue samples. However, there are some issues related to gene expression test: tests are not universally available for clinical practice, and the tests are expensive and time-consuming. Therefore, traditional H&E stained tissue slide is still a gold standard for the pathologists to accurately grade tissue samples. Unfortunately, H&E stained tissue sample presents inter and intraobserver variability. Ref [7] suggest several factors that affect the pathologist while grading. The important amongst them are the degree of overlap among cells, poor contrast, and other artefacts like blood, and mucus. Authors in their research work [8], identified staining error that induces inter-observer variability. Also, they showed that the variability agreement depends on the staining duration, stain concentration, thickness of the tissue specimen, and staining done on the same tissue specimen on different days in a week. Authors in their research work [9] showed that the inter-observer variability also depends on the experience of the pathologists.

Towards this end, several researchers [10, 11, 12, 13] have indicated that digital image analysis is the most promising techniques for reducing the variability. Further, the result from these articles shows that image processing techniques aid in the accurate extraction of important markers that help pathologists to grade accurately. Also, a recent survey papers [14, 15] indicated important features that help pathologists in identifying the extent of cancer progression. Hence, extraction of the nuclei in the present work assumes importance. There exist a great challenge in identifying and extracting nuclei owing to several factors like irregularly shaped nuclei, and a high degree of clumping. The next section discusses the major challenges in the nuclei segmentation.

2. MAJOR CHALLENGES IN NUCLEI SEGMENTATION

Identifying the number of cells in a given area of the histopathological image is a standard process for the automated grading system. An excellent review [16] of histopathological image analysis suggests that nuclear segmentation poses a great challenge even though several attempts have been made to solve it. Fig 1 clearly shows the complexities present in the histopathological images. One of the major challenges lies in the appearance of the nucleus. Poor or missing edges are inevitable due to variability in the concentration of staining dyes across several pathological laboratories leading to clump formation. Since clumps are inevitable due to irregularly shaped cells there exist a great challenge in splitting these clumps. There exist a dedicated research work on clump splitting from histopathological images by various groups. Most important and noted works are listed below.



Fig. 1: Complexities in nuclei segmentation

3. RELATED WORKS ON NUCLEI SEGMENTATION AND CLUMP SPLITTING

A marker-controlled watershed [11] based nuclei segmentation was proposed for breast cancer tissue images. Colour deconvolution and morphological operators were used as pre-processing steps to remove irrelevant objects from the tissue images. Kumar et al [17] proposed a rule-based clump splitting. In their research work, deep concave points were identified as the candidate for clump splitting and a concave-concave alignment features were identified for finding the correct split lines. Xiangzhi Bai et al [18] proposed clump splitting based on concave points and fitting ellipse. Wang et al [19] proposed clump splitting on binarized imaged based on polygon approximation and concavities. Samma et al [20] proposed clump splitting based on concave points. In their research work, concave points are identified by combining boundary and skeleton information. Wang and Hao [21] proposed clump splitting based on polygon approximation and medial axis transform. A Delaunays triangulation based overlapping nuclei was proposed by Quan Wen et al [22]. Authors in their research work, identify concave points based on maximum positive curvature and a Delaunay triangulation method is used to construct the potential split lines. Further, a rule-based edge selection algorithm is developed to prune redundant edges.

It has been observed by experimental analysis on several histopathological images that the method proposed by Quan Wen et al [22] performs well in case of the simple split but fails when there exist multiple splits in the clump. Qufa Zhong et al [23] proposed a segmentation algorithm for splitting slender particles. In their research work, a circular mask with orientation is used to identify concave points. Further, concaveness is measured as the ratio of arc length inside the object to the perimeter of the circular mask. Hui Wang et al [24] proposed clump splitting via bottleneck detection. In their research work, bottlenecks (concave points) are

identified by defining the cost function. Farhan et al [25] proposed a novel clump splitting algorithm based on image intensity.

LaTorre et al [26] proposed a two-step binarization and clump splitting based on identifying concave points. In their proposed work, the authors have applied the watershed transform and intensity gradient to identify the clumped cells. Further, concave vertex graph is constructed to perform clump splitting. Zhe JI et al [27] have proposed concavity based clump splitting on titanium alloy. Recently, Wesley et al [28] proposed an automatic system for counting cells. In their research work, automatic counting of cells is achieved using Kmeans as initial segmentation followed by ellipse fitting. W. Xiong et al [29] proposed clump splitting and automatic quantification of the area in the blood smears. Oliver Schmitt et al [30] proposed a morphological multi-scale decomposition of clustered cells. An n-fold morphological opening and closing operators are used to split the clumps. A new approach towards clump separation was proposed by M. Veta et al [11]. Foreground markers were extracted based on radial symmetry transform. Finally, the watershed algorithm was used for separating the clumped nuclei from the tissue image. In another method proposed by Sonal Kothari et al [31, ?] proposed concave points identification by ellipse fitting. Eric Cosatto et al [32] proposed a classification of nuclei based on the analysis of stain color after segmenting nuclei from the histopathological images. Hui Kong et al [33] proposed clump splitting based on the identification of concave points. Hussain Fatakdawala et al [34] proposed Expectation Maximization approach towards identifying and extracting the nuclei. In their work, authors have proposed a heuristic method for splitting the overlapping objects. Makkapati et al [35] proposed a geometric based clump splitting. Hong Song et al [36] proposed concave based clump splitting. In this work, authors have identified more common concave points and an improved the watershed algorithm to identify less likely concave points. Recently, Dhanya et al [37] proposed a newer approach towards clump splitting of blood cells for detecting malaria parasites. In this work, authors identify the concave points based on estimating the major axis of the ellipse and navigating in both directions until any notable changes are found at the boundary.

There exist several studies on clump splitting for nuclei segmentation. On carefully observing the works published by several researchers we found that concavity based methods were widely used for splitting the clumps. Further, there exists a great challenge in identifying accurate concave points. Also, mapping the concaveconcave point pair also presents several issues. From the literature, it is observed that clump slitting was performed on the binary image overlooking the colour information present in the histopathological image. In this research work, a mixture of Gaussian model is proposed to estimate the co-variation of stain colour present at each clump. An improved edge selection algorithm is proposed based on identifying the centroid of each clumped object.

4. MATERIALS AND METHODS

This research work aims to extract nuclei and then separate clumps based on a two-step approach. In the first step, we extract a region of interest (nuclei) by pre-processing followed by post-processing. In the next step, we separate the clumps based on improved clump splitting method. The co-variance around the region of the potential clump split lines are estimated to delineate valid split edges. Further, least eigenvalues are computed from the covariance. A novel centroid detection method is proposed based on the ultimate morphological erosion. Valid edges are selected based on the number of centroids identified by the proposed method in each clump. The complete workflow of the proposed method is shown in Fig 2.



Fig. 2: The proposed method for nuclei segmentation and classification

4.1 Pre processing

Pre-processing is an important step to extract nuclei (ROI) from the histopathological images. Since the quality of image acquisition and staining procedure affects the segmentation accuracy preprocessing the histopathological images before segmentation becomes inevitable.

Pre-processing from a histopathological image (HP image) involves the following steps as shown in Fig 3. The RGB colour model presents interdependencies between the colour channels. Therefore we convert RGB to CIELab to remove such dependencies. From our observations on several HP images, we found that Luminance (L channel) preserves more characteristic details of the cellular regions more than other channels. Noise present in the HP



Fig. 3: Pre-processing steps

images greatly influences the accuracy of extracting ROI. There exist several filtering techniques to remove noise but in this research work, Weiner filtering method is used since it filters noise preserving the edges. Poor contrast and illumination are common problems in most of the HP images. Therefore, histopathological images are subjected to contrast enhancement before further processing. LaTorre et al [26] have used contrast enhancement technique (CLAHE) to remove the noise. On experimentation, we found that CLAHE performed uniform contrast enhancement over the entire image. But in the current research work, contrast enhancement is done only if the contract for the particular pixel is less than some predefined threshold. In this work, the mean contrast of all the HP images is used as a threshold. Fig 4 shows the comparisons of contrast enhancement techniques.



(a) The proposed approach

(b) CLAHE technique

Fig. 4: Comparison of Contrast Enhancement techniques

4.2 Extract Region of Interest (Nuclei)

Extracting ROI from HP images is an important and challenging task for high-level image interpretation and analysis. There exist several segmentation algorithms in the literature to extract ROI each having its own merits and demerits. Image segmentation methods can be grouped into three types: thresholding based approach, edge-based, and cluster-based. Generally, the watershed approach is the most popular segmentation method for extracting ROI. On several experimentations, it is observed that the watershed algorithm works well when the blob (ROI) is simple and nonclumped. Since clumping of cells in HP image is inevitable Watershed method resulted in poor extraction of ROI (Fig 5). The accuracy of Watershed method depends on identifying accurate seed points called foreground markers. Due to noise and staining errors Watershed approach finds false regional maxima as foreground markers thus resulting in over-segmentation.



Fig. 5: Extraction of Region of interest (ROI) using Watershed method

In this work, the cluster-based approach is used for extracting ROI. Authors in their research work40 have suggested that hard clustering method works well on noise-free images. Recently, Adhikari et al [38] have proposed soft clustering methods for image segmentation task. From the experimental analysis on several histopathological images, it is evident that Fuzzy C Means (FCM) performs better segmentation for natural images but fails to accurately extract ROI from HP images due to poor contrast, and nonhomogeneous colour intensities present in the histopathological images. Therefore in this research work, spatial information along with pixel intensities are considered for nuclei segmentation. From the works by Adhikari et al [38], Spatial Fuzzy C means(SFCM) were used for MRI segmentation. in this research work, SFCM is used for nuclei segmentation. The SFCM method has two steps: In the first step, traditional FCM is used to iteratively assigns memberships to each pixel based on the similarity measure. In the next step, the spatial function is

$$J_m = \sum_{i=1}^n \sum_{j=1}^c (\mu_{i,j})^m ||x_i - c_j||^2$$
(1)

Where m is any real number greater than 1, x_i is the i^{th} ddimensional data, $\mu_{i,j}$ is the the membership function and Fuzzy centroid c_j

$$\mu_{i,j} = \frac{1}{\sum_{k=1}^{c} \frac{||x_i - c_j||}{||x_i - c_k||}^{\frac{2}{m-1}}} \qquad c_j = \frac{\sum_{i=1}^{n} u_{i,j}^m \cdot x_i}{\sum_{i=1}^{n} u_{i,j}^m}$$

It is well known that the accuracy of the clustering depends on the prior knowledge of the number of clusters. Further, It is difficult to assess the accurate number of clusters present in Histopathological images. Recently, Yang, Shuling, et al [39] have introduced different cluster validity index. In this research work, we have used the partition coefficient, partition entropy, Xie-Beni index, and the sum of squared error are used for validating the number of clusters. Fig 6 shows the cluster validity measure. It can be clearly seen in Fig 6 that, entropy, and the sum of squared error increase beyond cluster index k=3. Hence, in the current research work, we have fixed the cluster to 3.



Fig. 6: Cluster validity measure

4.3 Post processing

The binary image after segmentation is still far from quantifying the features of a single cell due to clumping of cells. The binarized image contains small objects (noise) that must be removed before further processing. Due to improper staining, the binarized image at certain regions will be hollow. Thus creating multiple false regions. Recently, Hui Kong et al [40] proposed a method to differentiate the clumped cells and non-clumped cells. Given a connected region, R_i authors find the radial symmetry centre ri and geometrical centre gi. The connected region is identified as clump if the ratio of r_i / g_i is greater than some threshold. On several experimentations, it is found that fixing a threshold was very difficult. In this research work, an improved approach towards pruning unwanted regions from the histopathological image is proposed. Lets consider S= $\{o_1, o_2, , o_n\}$ to be a set of N connected objects. Let A = $\{a_1, a_2, a_n\}$ be the set of areas of each object of interest. The connected component (cc) is deemed to be clump if the area of cc is greater than the average area of all the connected components in an image. Histopathological images after segmentation have rough boundaries. These irregularities make it difficult to identify valid concave points. In this research work, a simple low pass filter is used to smooth the boundary points. Fig 8 shows the process of binarization and contour smoothing done on sample histopathological image.



Fig. 7: Extract Region of Interest from HP image: The first image shows the original image, the next image shows the binarized version of the original image, and the last image shows the contours identified after morphological operations and boundary smoothing

4.4 Concavity Analysis

Detection of the valid concave points is a main step for separating the overlapping nuclei. In this direction there are many research articles on identifying concave points. On experimentations with several histopathological images with varying shapes, it is found that curvature-based method identifies shallow concave points with many invalid (convex) points. Further, convex hull based approach identifies only deep concave points missing out shallow concave points. In this research work, an ensemble of curvature and convex hull approach is proposed to extract all the concave points

Extracting Shallow concave points

The shallow concave points are extracted based on the curvature along the boundaries of the connected regions. The theoretically suggestions made by Attneave tells that information gain is mostly found in the regions of high curvature. Further, Jacob Feldman [41] proposed signed curvature extending Attneaves claim. The experimental analysis shows that for any connected region with closed boundary, negative curvature signifies concave regions and positive curvature signifies convex regions. There several methods to identify the curvature of a 2D curve. In this research work, we have applied the second order derivative as proposed by Hermann et al [42] to estimate the curvature of a point on a curve.

$$k = \frac{2(\alpha_1 \beta_2 - \alpha_2 \beta_1)}{\sqrt{\alpha_1^2 + \beta_1^2}}$$
(2)

$$\alpha_1 = \frac{X_i - X_{prev}}{2} \qquad \alpha_2 = \frac{X_i + X_{prev}}{2} - X_{nex}$$
$$\beta_1 = \frac{Y_i - Y_{prev}}{2} \qquad \beta_2 = \frac{Y_i + Y_{prev}}{2} - Y_{nex}$$

In our work, potential concave points are identified by considering the local minimums of the negative curvature. The curvature at a point (X_i, Y_i) is estimated using equation 2. Where (X_i, Y_i) corresponds to ith boundary point and $(X_{nex}, Y_{nex}), (X_{pre}, Y_{pre})$ corresponds to the next and previous boundary points respectively.

Extracting Deep concave points

The extraction of deep concave points are done based on the convex hull approach. Equation 3 is used to identify and extract concave regions. In this approach we find the maximum distance from the convex Hull to the concave regions to extract deep concave points.

$$Bdist_{k} = \frac{\|\mathcal{B}_{iy} - (S_{i}^{h} * \mathcal{B}_{ix}) - B(S_{i}^{h} * A)\|}{\sqrt{1 + (S_{i}^{h})^{2}}}$$
(3)

where, $Bdist_k$ represents distance map from all the k boundary point, $(\mathcal{B}_{ix}, \mathcal{B}_{iy})$ represent the co-ordinates of boundary points, (A,B) represents the convex hull points and s_i^h represent the slope of convex hull.

4.5 Edge Pruning

In this step we identify the valid concave pair. Since the accurate number of splits present in each of the clumps are not known in advance it becomes difficult to identify the accurate concave pair. In this research work, concave point paring is done based on the Delaunay Triangulation method proposed by Quan et al [22]. There exist redundant edges after Delaunays Triangulation. Redundant edges can be grouped into two classes. Edges outside the clumps, and edges that do not make a pair. We propose an algorithm to identify and prune redundant edges.

Remove edges that are outside the clump

Let suppose that $P = \{p_1, p_2, p_3, p_k\}$ be the set of k edge points and $V = \{v_1, v_2, v_j\}$ be the set of vertex points from Delaunays Triangulation. An edge P_i is retained if every interpolated point between (v_i, v_j) lies within the scope of the boundary.

Remove edges that do not make a pair

The concavity depth and saliency feature proposed by Kumar et al [17] finds concave pairs. But there exist a possibility of grouping concave points on the same region. In this research work, an improved edge pruning algorithm is proposed. A complete algorithm for edge pruning is shown below.

4.6 Clump splitting and edge selection based on Gaussian mixture model

After edges are pruned, valid edges are selected based on estimating the parameters of the Gaussian mixture model from each of the potential edge. In this work, a circular mask of fixed radius r=10 is used to extract the colour pixels from every potential edge. Covariance and mean are estimated using equation 5. From an exhaustive empirical study on several clumps from different histopathological images, valid split edges are selected based on the lowest eigenvalues of the covariance matrix. Since there exists no apriori knowledge on the number of clumps present in each of the occluded object of interest, we propose a novel method of edge selection based on centroid detection. In this research work, ultimate morphological erosion is applied to each blob. Ultimate erosion of a blob b is expressed as the union of regional maxima of the distance function of b. The ultimate erosion finds the set of possible centroids for each clumped objects. In this research work, valid centroids are identified by applying the k-means algorithm. The proposed method for centroid detection is shown in algorithm 5.

$$N(x|\mu, \Sigma) = \frac{1}{2\pi |\Sigma|^{1/2}} exp - \frac{1}{2} (x-\mu)^T \Sigma^{-1} (x-\mu)$$
(4)

Result: Edge Pruning
Convex Hull;

Concave Region;

Boundary Matching;

Algorithm 1: Complete Edge pruning algorithm

Result: Convex Hull

P:= leftmost point; Q := Next point; R:= counter clockwise orientation;

while (
$$P$$
 != First point) do

Q := (P,Q,R) is counter clockwise from any point R;

Next[P] = Q;

P=Q ;

end

Algorithm 2: Convex Hull detection algorithm







Algorithm 5: Nuclei centroid detection algorithm

5. DATASET & NUCLEI FEATURES

To illustrate the methodology of nuclei segmentation and classification, histopathological images from the Pathological Anatomy and Cytopathology, Parana, Brazil [43] is considered. This dataset consists of 9,109 microscopic images of breast tumour tissue collected from 82 patients using different magnifying factors (40X, 100X, 200X, and 400X). The dataset presents 2,480 benign and 5,429 malignant images with 700 X 460 resolution, RGB with 8-bit depth in each channel. Since the ground truth data was not available, a small subset containing 1820 samples with 400x magnification out of which 588 were benign and rest were malignant are considered.

In order to perform the classification, nuclei features are extracted

| Table | 1. | : | Shape | Features |
|-------|----|---|-------|----------|
|-------|----|---|-------|----------|

| Туре | Features | | |
|-------------------|-------------------------------------------------------|--|--|
| cellular features | Area, Major axis, Minoraxis, Eccentricity Orientation | | |
| | Equi-diameter, Solidity, Extent Shape Concave Area | | |
| | Perimeter, Filled Area Concave point ratio. | | |

from the segmented image. The classifier uses these features in predicting the class for an unknown sample. Irshad et al [16] presented a survey on different feature extraction methods available for the histopathological image. Recently, Rekha et al and Cherath et al [44, 45] proposed a modified scoring system for breast cancer grading. Authors in their research works have suggested six morphological features like cellular pleomorphism, cellular arrangement, myoepithelial cells, anisonucleosis, nucleoli, and chromatin clumping. Pathologists observe these feature for grading the tissue sample. In this direction, the current research focuses on identifying morphological changes at the cellular level. Therefore, a total of 48 features are extracted from the ROI by considering the mean, standard deviation, minimum and maximum for all the shape features shown in Table 1.

6. RESULTS & DISCUSSION

In this section, an exhaustive experimental analysis of segmentation and classification of histopathological images is presented. Fig 8 shows the qualitative results of segmentation and clump splitting while Table 2 shows the classification accuracy.

Qualitative analysis of classifiers

The performance of the classifier is measured based on shape features extracted after nuclei segmentation. In this research, 1820 histopathological images were considered for segmenting nuclei. In comparison with the existing method [46], the method presented in this research work performed well in accurately delineating all the nuclei. The results show improvements in the F-measure, from 0.65 to 0.70. From the table 2, it is evident that the performance of SVM is better compared to other classifiers. Fig 9. Shows the ROC curve of the three classifiers. It can be clearly seen that the false positive rate (FPR) for SVM is better compared to another classifier. Also, the SVM model outperformed all others methods in classifying benign and malignant nucleus.

7. CONCLUSION

In this research work, an improved clump splitting approach to classifying breast cancer nuclei based on extracting nuclear features is presented. Identifying the morphological changes in the nuclei, given the histopathological images is of greatest importance in predicting the progression of a breast tumour and hence, the present study assumes importance. Segmentation of breast cancer nuclei poses a great challenge owing to irregular size, shape, and nonhomogenous pixel intensity present in the nuclei. Even though there exists a well-studied research on nuclei segmentation by several researchers yet, the existing methods lack in accurately extracting the nuclei. From the qualitative and quantitative analysis, it is clear that the proposed clump splitting method performed well on separating several nontrivial clumps present in the histopathological images. To validate the performance of nuclei segmentation, shape features are extracted from the segmented nuclei for classification. The proposed method was evaluated on 1820 images. In comparison to the existing method, the proposed method showed an im-



Fig. 8: Qualitative nuclei segmentation: The first column shows the original image, the next column shows the binarized version of the original image based on the improved clump splitting, and the last column shows the nuclei segmentation, and clump splitting based on hardy et al [46] and Farhan et al [25]

proved accuracy of 86% in classifying benign vs malignant tissue images. Also, the proposed method showed an improved F-measure of 0.7 in comparison to 0.65 for the existing method.

Disclosures

Authors would like to disclose no conflict of interest, financial or otherwise.

Table 2. : Quantitative performance measure of different classifiers

| Method | Classifier | Acc | Sen | Spe | F-m | MCC | AUC |
|-----------------------|------------|-------|-------|-------|-------|-------|-------|
| | SVM | 0.825 | 0.825 | 0.825 | 0.638 | 0.85 | 0.825 |
| hardy et al [46] | KNN | 0.795 | 0.788 | 0.802 | 0.580 | 0.795 | 0.795 |
| and Farhan et al [25] | TB | 0.831 | 0.833 | 0.826 | 0.650 | 0.833 | 0.831 |
| | | | | | | | |
| | SVM | 0.863 | 0.895 | 0.823 | 0.708 | 0.858 | 0.859 |
| Proposed Method | KNN | 0.842 | 0.816 | 0.876 | 0.674 | 0.845 | 0.846 |
| | TB | 0.850 | 0.879 | 0.815 | 0.683 | 0.846 | 0.847 |

Acc- accuracy, Sen- sensitivity, Spe- specificity, F-m- F-measure, AUC-Area under the curve, MCC-Matthews correlation coefficient, SVM - Support vector machine, KNN - K nearest neighbour, TB - Tree Bagger



Fig. 9: Receiver operating characteristics (ROC) for different classifiers on the test data

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