

# Image Segmentation and Retrievals based on Finite Doubly Truncated Bivariate Gaussian Mixture Model and K-Means

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## ABSTRACT

A new Image Segmentation method based on Finite Doubly Truncated Bivariate Gaussian Mixture Model is proposed in this paper. The Truncated Bivariate Gaussian Distribution includes several of the skewed and asymmetric distributions as particular cases with finite range. This distribution also includes the Gaussian distribution as a limiting case. We use Expectation maximization (EM) algorithm to estimate the model parameters of the image data and the number of mixture components is estimated by using K-means Clustering algorithm. The K-means clustering algorithm is also utilized for developing the initial estimates of the EM-algorithm. The segmentation is carried out by clustering of feature vector into appropriate component according to the Maximum Likelihood Estimation criteria. The advantage of our method lies its efficiency on initialization of the model parameters and segmenting the images in a totally unsupervised manner. The performance of the proposed algorithm is studied by computing the segmentation performance measures like, PRI, GCE and VOI. The ability of this method for image retrieval is demonstrated by computing the image quality metrics for six images namely OSTRICH, POT, TOWER, BEARS, DEER and BIRD. The experimental results show that this method outperforms the existing model based image segmentation methods.

**Keywords:** Image Segmentation, Truncated Bivariate Gaussian Mixture Distribution, Image Quality Metrics, K-means algorithm, EM-algorithm.

## 1. INTRODUCTION

Image analysis is an important area of research in image processing and image retrievals. In image analysis the basic consideration is extracting useful information from the images through features. Image analysis involves a low level and a high level processing. In low level analysis, the representation of an image is transformed from a numerical array of pixel intensities to symbolic set of image primitives (edges and regions). In high level analysis, object labels (or interpretations) are assigned to these primitives, there by providing a semantic description of the image (Modestino J.W. and Zhang J. (1992)). Image analysis techniques can be classified into two major groups: 1) Statistical, which uses probability distribution functions of pixels and regions to characterize the image (Dubes R.C. and Jain A.K. (1989)), and 2) Structural, which analyzes the image in terms of organization and relationship of pixels and regions by the specified relations (Udapa J.K. et al (1996)). Image segmentation is defined as the process of dividing the image into different regions, such that each region is homogenous. For intensity image segmentation there exists three

popular approaches namely, i) histogram analysis technique ii) region growing and iii) edge detection. A more comprehensive discussion on image segmentation, were presented by Pal S.K. and Pal N.R. (1993), Jahne (1995), Cheng et al (2001), Ye Hou et al (2009), Un Tang (2010), Nor Hazlyna et al (2010), Laurent Najman (2011), Juyong Zhang (2010).. There does not exist a unique algorithm that works for all applications.

In low level image analysis, the segmentation gained lot of importance due to its more accuracy in extracting information from the image. Hence, segmentation becomes the first step of low level image analysis. Segmentation is a process of dividing whole image into non overlapping regions such that each region is homogeneous. Several image segmentation techniques have been developed and utilized for the image analysis depending upon situation under consideration.

Recently, much emphasis is given to utilize model based image segmentation by Srinivas Y. et al (2010), Zhen Wang et al (2010), Feng Zhu et al (2010), Kokkinos I. et al (2009), Zhenh a Zhang et al (2009), Raut S. (2009). In model based image segmentation the whole image is characterized through finite Gaussian mixture model (GMM) (Lie T. et al (1993), Yamazaki T. et al. (1998), Zhang Z.H. et al (2003)). In finite Gaussian mixture models, each image region is characterized by a Gaussian distribution. This finite Gaussian mixture model serves well only when the range of feature vector is infinite. But in many image regions the pixel intensities will have a finite range. Taking this into consideration Srinivas Y. and Srinivas Rao K. (2007) developed an unsupervised learning algorithm using finite truncated Gaussian mixture model assuming that the pixel intensities in each region follows a univariate truncated Gaussian distribution. This model is suitable for gray images. But in colour images the pixel intensities can be well characterized by vector of features in colour space.

Several colour spaces are proposed for different contexts of image processing. Some of the well known colour spaces are RGB, HSV, YIQ, and CIE spaces. Among these the RGB space is quite commonly used because of its simplicity in implementation. A better colour space than the RGB space in representing the colours of human perception is the HSV space, in which the colour information is represented by Hue and Saturation values. Thus the human perception of image can be characterized through a bivariate random variable consisting of Hue and Saturation which can be measured through using generic structure of a colour appearance model (Sangwine et al (1998)).

Hence, in this paper, an image segmentation algorithm with the assumption that the feature vector of the colour image is represented by a two dimensional variable namely, Hue angle and

Saturation is developed and analyzed. It is assumed that the whole image is characterized by finite doubly truncated bivariate Gaussian mixture distribution and the numbers of components in the mixture are identified through K-means algorithm (Rose H. Turi (2001)) along with the histogram of the pixel intensities. Deriving the updated equations of the EM- algorithm the model parameters are estimated. The segmentation is carried through maximizing the component likelihood function.

The performance of the developed segmentation algorithm is studied by deriving performance measures namely, Probabilistic Rand Index (PRI), Global Consistency Error (GCE) and the Variation of Information (VOI) and comparing them with existing model based segmentation methods with six images namely, OSTRICH, POT, TOWER, BEARS, DEER and BIRD. The segmentation algorithm is used to reconstruct the image and its performance is studied through image quality metrics like, Maximum distance, Image fidelity, Mean square error, Signal to noise ratio and Image quality index and comparing it with earlier algorithms.

## 2. TRUNCATED BIVARIATE GAUSSIAN MIXTURE MODEL

In a low level image analysis, the entire image is considered as a union of several image regions. The CIE spaces provide an approximately uniform chromaticity scale, which allows the use of Euclidean distance in expressing the colour difference of human perception, and thus is especially efficient in the measurement of small colour difference (Tseng D.C. et al (1992)). Among all those colour spaces the bivariate features Hue and Saturation of the image derived from CIE- L\*U\*V\* measures formulate a bivariate feature vector.

Let  $W = (X, Y)$  is a bivariate random variable representing feature vector of pixel of a image region. Since these two variables are highly influenced by various random factors like vision, lighting, moisture, environmental conditions, etc, this feature vector can be viewed as a bivariate random vector. To model the bivariate features of the image, it is customary to assume that the feature vector of the image follows a bivariate Normal (Gaussian) distribution. The probability density function of the bivariate normal distribution is,

$$f(x, y) = \frac{1}{2\pi\sqrt{1-\rho^2}\sigma_1\sigma_2} \exp\left\{\frac{-1}{2(1-\rho^2)}\left[\left(\frac{x-\mu_1}{\sigma_1}\right)^2 - 2\rho\left(\frac{x-\mu_1}{\sigma_1}\right)\left(\frac{y-\mu_2}{\sigma_2}\right) + \left(\frac{y-\mu_2}{\sigma_2}\right)^2\right]\right\}$$

$$-\infty < x < +\infty; -\infty < y < +\infty,$$

$$\sigma_1 > 0; \sigma_2 > 0; -1 < \rho < 1,$$

$$-\infty < \mu_1 < +\infty; -\infty < \mu_2 < +\infty$$

(1)

However, in many practical situations the elements of the feature vector of the image region lie between two finite values and in some image regions the distribution may be asymmetric and skewed. Neglecting the reality of finite range leads to serious falsification of the model estimation. To incorporate the phenomenon of finite range of the vector, the probability distribution associated with the feature vector is modified with truncation at tail ends. The probability density function of the doubly truncated Gaussian distribution is given by,

$$g(x, y; \theta) = \frac{f(x, y)}{\int_{b_1}^{a_1} \int_{b_2}^{a_2} f(x, y) dx dy}, \quad b_1 < x < a_1; b_2 < y < a_2$$

(2)

where,  $(b_1, a_1)$  are the truncation points of the Hue and  $(b_2, a_2)$  are the truncation points of the Saturation.  $f(x, y)$  is the probability density function of the bivariate Normal distribution given in equation(1).

The value of  $\left[ \frac{a_1 a_2}{b_1 b_2} \int_{b_1}^{a_1} \int_{b_2}^{a_2} f(x, y) dx dy \right]$  is significant based on the

values of the parameters. This distribution includes the skewed, asymmetric and finite range bivariate distributions as particular cases for limiting and specific values of the parameters. This model also includes bivariate Gaussian distribution as a limiting case. The effect of truncation in bivariate Gaussian distribution has been discussed by several researchers (Norman L. Johnson, Samuel Kotz and Balakrishnan (1994)).

Following the heuristic arguments given by Bengt Muthen (1990), the mean value of 'X' (hue) is

$$E(X) = \mu_1 + \sigma_1 A$$

(3)

where,

$$A = \left[ -\phi\left(\frac{a_1 - \mu_1}{\sigma_1}\right) \left[ \Phi\left[\left(\frac{a_2 - \mu_2}{\sigma_2}\right) - \rho\left(\frac{a_1 - \mu_1}{\sigma_1}\right)\right] c - \Phi\left[\left(\frac{b_2 - \mu_2}{\sigma_2}\right) - \rho\left(\frac{a_1 - \mu_1}{\sigma_1}\right)\right] c \right] \right. \\ \left. + \phi\left(\frac{b_1 - \mu_1}{\sigma_1}\right) \left[ \Phi\left[\left(\frac{a_2 - \mu_2}{\sigma_2}\right) - \rho\left(\frac{b_1 - \mu_1}{\sigma_1}\right)\right] c - \Phi\left[\left(\frac{b_2 - \mu_2}{\sigma_2}\right) - \rho\left(\frac{b_1 - \mu_1}{\sigma_1}\right)\right] c \right] \right. \\ \left. - \rho\phi\left(\frac{a_2 - \mu_2}{\sigma_2}\right) \left[ \Phi\left[\left(\frac{a_1 - \mu_1}{\sigma_1}\right) - \rho\left(\frac{a_2 - \mu_2}{\sigma_2}\right)\right] c - \Phi\left[\left(\frac{b_1 - \mu_1}{\sigma_1}\right) - \rho\left(\frac{a_2 - \mu_2}{\sigma_2}\right)\right] c \right] \right. \\ \left. + \rho\phi\left(\frac{b_2 - \mu_2}{\sigma_2}\right) \left[ \Phi\left[\left(\frac{a_1 - \mu_1}{\sigma_1}\right) - \rho\left(\frac{b_2 - \mu_2}{\sigma_2}\right)\right] c - \Phi\left[\left(\frac{b_1 - \mu_1}{\sigma_1}\right) - \rho\left(\frac{b_2 - \mu_2}{\sigma_2}\right)\right] c \right] \right]$$

and  $c = (1 - \rho^2)^{-1/2}$ ,  $\phi$ ,  $\Phi$  are the ordinate and area of a standard Normal distribution.

Similarly the mean value of 'Y' (saturation) is

$$E(Y) = \mu_2 + \sigma_2 B$$

(4)

where,

$$B = \left[ -\phi\left(\frac{a_2 - \mu_2}{\sigma_2}\right) \left[ \Phi\left[\left(\frac{a_1 - \mu_1}{\sigma_1}\right) - \rho\left(\frac{a_2 - \mu_2}{\sigma_2}\right)\right] c - \Phi\left[\left(\frac{b_1 - \mu_1}{\sigma_1}\right) - \rho\left(\frac{a_2 - \mu_2}{\sigma_2}\right)\right] c \right] \right. \\ \left. + \phi\left(\frac{b_2 - \mu_2}{\sigma_2}\right) \left[ \Phi\left[\left(\frac{a_1 - \mu_1}{\sigma_1}\right) - \rho\left(\frac{b_2 - \mu_2}{\sigma_2}\right)\right] c - \Phi\left[\left(\frac{b_2 - \mu_2}{\sigma_2}\right) - \rho\left(\frac{b_2 - \mu_2}{\sigma_2}\right)\right] c \right] \right. \\ \left. - \rho\phi\left(\frac{a_1 - \mu_1}{\sigma_1}\right) \left[ \Phi\left[\left(\frac{a_2 - \mu_2}{\sigma_2}\right) - \rho\left(\frac{a_1 - \mu_1}{\sigma_1}\right)\right] c - \Phi\left[\left(\frac{b_2 - \mu_2}{\sigma_2}\right) - \rho\left(\frac{a_1 - \mu_1}{\sigma_1}\right)\right] c \right] \right. \\ \left. + \rho\phi\left(\frac{b_1 - \mu_1}{\sigma_1}\right) \left[ \Phi\left[\left(\frac{a_2 - \mu_2}{\sigma_2}\right) - \rho\left(\frac{b_1 - \mu_1}{\sigma_1}\right)\right] c - \Phi\left[\left(\frac{b_2 - \mu_2}{\sigma_2}\right) - \rho\left(\frac{b_1 - \mu_1}{\sigma_1}\right)\right] c \right] \right]$$

and  $c$  is given equation (3)

The Variance of X is

$$V(X) = \sigma_1^2 [E(Z_1^2)] - A^2(2\sigma_1 - 1)$$

(5)

where,

$$E(Z_1^2) = \left[ \pi - \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \phi \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \left[ \Phi \left[ \left( \frac{a_1 - \mu_1}{\sigma_1} \right) - \rho \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \right] c \right] - \Phi \left[ \left( \frac{b_1 - \mu_1}{\sigma_1} \right) - \rho \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \right] c \right] \\ + \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \phi \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \left[ \Phi \left[ \left( \frac{a_1 - \mu_1}{\sigma_1} \right) - \rho \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \right] c \right] - \Phi \left[ \left( \frac{b_1 - \mu_1}{\sigma_1} \right) - \rho \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \right] c \right] \\ + \rho^2 \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \phi \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \left[ \Phi \left[ \left( \frac{a_1 - \mu_1}{\sigma_1} \right) - \rho \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \right] c \right] - \Phi \left[ \left( \frac{b_1 - \mu_1}{\sigma_1} \right) - \rho \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \right] c \right] \\ + c^{-1} \rho \phi \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \left[ \phi \left[ \left( \frac{a_1 - \mu_1}{\sigma_1} \right) - \rho \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \right] c \right] - \phi \left[ \left( \frac{b_1 - \mu_1}{\sigma_1} \right) - \rho \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \right] c \right] \\ + \rho^2 \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \phi \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \left[ \Phi \left[ \left( \frac{a_1 - \mu_1}{\sigma_1} \right) - \rho \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \right] c \right] - \Phi \left[ \left( \frac{b_1 - \mu_1}{\sigma_1} \right) - \rho \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \right] c \right] \\ - c^{-1} \rho \phi \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \left[ \phi \left[ \left( \frac{a_1 - \mu_1}{\sigma_1} \right) - \rho \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \right] c \right] - \phi \left[ \left( \frac{b_1 - \mu_1}{\sigma_1} \right) - \rho \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \right] c \right] \right]$$

and  $c, A$  are as given in equation (3)

The Variance of  $Y$  is

$$V(Y) = \sigma_2^2 [E(Z_2^2)] - B^2(2\sigma_2 - 1) \quad (6)$$

where,

$$E(Z_2^2) = \left[ \pi - \left( \frac{a_2 - \mu_2}{\sigma_2} \right) \phi \left( \frac{a_2 - \mu_2}{\sigma_2} \right) \left[ \Phi \left[ \left( \frac{a_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{a_2 - \mu_2}{\sigma_2} \right) \right] c \right] - \Phi \left[ \left( \frac{b_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{a_2 - \mu_2}{\sigma_2} \right) \right] c \right] \\ + \left( \frac{b_2 - \mu_2}{\sigma_2} \right) \phi \left( \frac{b_2 - \mu_2}{\sigma_2} \right) \left[ \Phi \left[ \left( \frac{a_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{b_2 - \mu_2}{\sigma_2} \right) \right] c \right] - \Phi \left[ \left( \frac{b_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{b_2 - \mu_2}{\sigma_2} \right) \right] c \right] \\ + \rho^2 \left( \frac{a_2 - \mu_2}{\sigma_2} \right) \phi \left( \frac{a_2 - \mu_2}{\sigma_2} \right) \left[ \Phi \left[ \left( \frac{a_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{a_2 - \mu_2}{\sigma_2} \right) \right] c \right] - \Phi \left[ \left( \frac{b_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{a_2 - \mu_2}{\sigma_2} \right) \right] c \right] \\ + c^{-1} \rho \phi \left( \frac{a_2 - \mu_2}{\sigma_2} \right) \left[ \phi \left[ \left( \frac{a_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{a_2 - \mu_2}{\sigma_2} \right) \right] c \right] - \phi \left[ \left( \frac{b_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{a_2 - \mu_2}{\sigma_2} \right) \right] c \right] \\ + \rho^2 \left( \frac{b_2 - \mu_2}{\sigma_2} \right) \phi \left( \frac{b_2 - \mu_2}{\sigma_2} \right) \left[ \Phi \left[ \left( \frac{a_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{b_2 - \mu_2}{\sigma_2} \right) \right] c \right] - \Phi \left[ \left( \frac{b_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{b_2 - \mu_2}{\sigma_2} \right) \right] c \right] \\ - c^{-1} \rho \phi \left( \frac{b_2 - \mu_2}{\sigma_2} \right) \left[ \phi \left[ \left( \frac{a_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{b_2 - \mu_2}{\sigma_2} \right) \right] c \right] - \phi \left[ \left( \frac{b_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{b_2 - \mu_2}{\sigma_2} \right) \right] c \right] \right]$$

and  $c$  and  $B$  are as given in equations (3) and (4) respectively.

The Covariance of  $(X, Y)$  is

$$COV(X, Y) = \sigma_1 \sigma_2 E(Z_1, Z_2) - AB[\sigma_1 + \sigma_2 - 1] \quad (7)$$

where,

$$E(Z_1, Z_2) = \left[ \rho \pi - \rho \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \phi \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \left[ \Phi \left[ \left( \frac{a_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \right] c \right] - \Phi \left[ \left( \frac{b_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \right] c \right] \\ + c^{-1} \rho \phi \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \left[ \phi \left[ \left( \frac{a_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \right] c \right] - \phi \left[ \left( \frac{b_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{a_1 - \mu_1}{\sigma_1} \right) \right] c \right] \\ + \rho \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \phi \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \left[ \Phi \left[ \left( \frac{a_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \right] c \right] - \Phi \left[ \left( \frac{b_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \right] c \right] \\ - c^{-1} \rho \phi \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \left[ \phi \left[ \left( \frac{a_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \right] c \right] - \phi \left[ \left( \frac{b_2 - \mu_2}{\sigma_2} \right) - \rho \left( \frac{b_1 - \mu_1}{\sigma_1} \right) \right] c \right] \\ + \rho \left( \frac{a_2 - \mu_2}{\sigma_2} \right) \phi \left( \frac{a_2 - \mu_2}{\sigma_2} \right) \left[ \Phi \left[ \left( \frac{a_1 - \mu_1}{\sigma_1} \right) - \rho \left( \frac{a_2 - \mu_2}{\sigma_2} \right) \right] c \right] - \Phi \left[ \left( \frac{b_1 - \mu_1}{\sigma_1} \right) - \rho \left( \frac{a_2 - \mu_2}{\sigma_2} \right) \right] c \right] \\ + \rho \left( \frac{b_2 - \mu_2}{\sigma_2} \right) \phi \left( \frac{b_2 - \mu_2}{\sigma_2} \right) \left[ \Phi \left[ \left( \frac{a_1 - \mu_1}{\sigma_1} \right) - \rho \left( \frac{b_2 - \mu_2}{\sigma_2} \right) \right] c \right] - \Phi \left[ \left( \frac{b_1 - \mu_1}{\sigma_1} \right) - \rho \left( \frac{b_2 - \mu_2}{\sigma_2} \right) \right] c \right] \right]$$

and  $c, A$  are as given in equation (3) and  $B$  is given in equation (4)

Since the entire image is a collection of regions, which are characterized by doubly truncated bivariate normal distribution, it can be characterized through a  $K$ -component finite doubly truncated bivariate Gaussian distribution and its probability density function is of the form

$$h(x, y) = \sum_{i=1}^K \alpha_i g_i(x, y / \theta_i) \quad (8)$$

where,  $K$  is the number of regions,  $\alpha_i > 0$  are weights such

that  $\sum_{i=1}^K \alpha_i = 1$  and  $\theta = \left\{ \mu_{1i}, \mu_{2i}, \sigma_{1i}^2, \sigma_{2i}^2, \rho_i \right\}$  is the parametric set.

$g_i(x, y / \theta_i)$  is as given in equation (2) representing the probability density function of the  $i^{\text{th}}$  image region.  $\alpha_i$  is the probability of occurrence of the  $i^{\text{th}}$  component of the finite doubly truncated bivariate Gaussian mixture model (FDTBGMM) i.e., the probability that the feature belongs to the  $i^{\text{th}}$  image region.

The mean vector representing the entire image region is

$$E(X, Y) = \left[ \begin{array}{c} \sum_{i=1}^K \alpha_i E_i(X) \\ \sum_{i=1}^K \alpha_i E_i(Y) \end{array} \right] \quad (9)$$

where,  $E_i(X)$  and  $E_i(Y)$  are as given in equations (3) and (4) for the  $i^{\text{th}}$  image region.

### 3. ESTIMATION OF THE MODEL PARAMETERS BY EM-ALGORITHM

The likelihood function of bivariate observations  $(x_1, y_1), (x_2, y_2), (x_3, y_3), \dots, (x_N, y_N)$  drawn from an image with probability density function

$$h(x, y; \theta) = \sum_{i=1}^K \alpha_i g_i(x_s, y_s; \theta) \text{ is} \\ L(\theta) = \prod_{s=1}^N \left( \sum_{i=1}^K \alpha_i g_i(x_s, y_s; \theta) \right) \\ = \prod_{s=1}^N \left( \sum_{i=1}^K \alpha_i \frac{\exp \left\{ \frac{-1}{2(1-\rho_i^2)} \left[ \frac{(x_s - \mu_{1i})^2}{\sigma_{1i}^2} - 2\rho_i \frac{(x_s - \mu_{1i})(y_s - \mu_{2i})}{\sigma_{1i}\sigma_{2i}} + \frac{(y_s - \mu_{2i})^2}{\sigma_{2i}^2} \right] \right\}}{2\pi \sqrt{1-\rho_i^2} \sigma_{1i} \sigma_{2i}} \int_{b_1}^{a_1} \int_{b_2}^{a_2} f_i(x, y; \theta) dx dy \right) \quad (10)$$

This implies

$$\log L(\theta) = \log \prod_{s=1}^N \left( \sum_{i=1}^K \alpha_i g_i(x_s, y_s; \theta) \right) \\ = \sum_{s=1}^N \log \left( \sum_{i=1}^K \alpha_i g_i(w_s; \theta) \right) \quad (11)$$

The updated equations of EM-algorithm for estimating the model parameters are

$$\alpha_k^{(l+1)} = \frac{1}{N} \sum_{s=1}^N \left[ t_k(x_s, y_s; \theta^{(l)}) \right] \\ = \frac{1}{N} \sum_{s=1}^N \left( \frac{\alpha_k^{(l)} g_k(x_s, y_s; \theta^{(l)})}{\sum_{i=1}^K \alpha_i^{(l)} g_i(x_s, y_s; \theta^{(l)})} \right) \quad (12)$$

where,  $g_k(x_s, y_s; \theta^{(l)})$  is as given in equation (2).

The updated equation of  $\mu_{1k}$  at  $(l+1)^{\text{th}}$  iteration is,

$$\mu_{1k}^{(l+1)} = \frac{\sum_{s=1}^N t_k(x_s, y_s; \theta^{(l)}) x_s - \sum_{s=1}^N t_k(x_s, y_s; \theta^{(l)}) x_s + \sum_{s=1}^N t_k(x_s, y_s; \theta^{(l)}) \sigma_{1k}^{(l)} \left[ \frac{\rho_k^{(l)} (y_s - \mu_{2k}^{(l)})}{\sigma_{2k}^{(l)}} + [A - \rho_k^{(l)} B] \right]}{\sum_{s=1}^N t_k(x_s, y_s; \theta^{(l)})} \quad (13)$$

where,

$$t_k(x_s, y_s; \theta^{(l)}) = \frac{\alpha_k^{(l)} g_k(x_s, y_s; \theta^{(l)})}{h(x_s, y_s; \theta^{(l)})} = \frac{\alpha_k^{(l)} g_k(x_s, y_s; \theta^{(l)})}{\sum_{i=1}^K \alpha_i^{(l)} g_i(x_s, y_s; \theta^{(l)})},$$

$$g_k(x_s, y_s; \theta^{(l)}) = \frac{\exp\left\{\frac{-1}{2(1-\rho_k^2)}\left[\left(\frac{x_s - \mu_{1k}}{\sigma_{1k}}\right)^2 - 2\rho_k\left(\frac{x_s - \mu_{1k}}{\sigma_{1k}}\right)\left(\frac{y_s - \mu_{2k}}{\sigma_{2k}}\right) + \left(\frac{y_s - \mu_{2k}}{\sigma_{2k}}\right)^2\right]\right\}}{2\pi\sigma_{1k}\sigma_{2k}\sqrt{1-\rho_k^2} \int_{b_1}^{a_1} \int_{b_2}^{a_2} f_k(x, y; \theta^{(l)}) dx dy}$$

A and B are as given in equations (3) and (4) respectively.

Similarly the updated equation of  $\mu_{2k}$  at  $(l+1)$ th iteration is

$$\mu_{2k}^{(l+1)} \sum_{s=1}^N t_k(x_s, y_s; \theta^{(l)}) - \sum_{s=1}^N t_k(x_s, y_s; \theta^{(l)}) y_s + \sum_{s=1}^N t_k(x_s, y_s; \theta^{(l)}) \sigma_{2k}^{(l)} \left[ \frac{\rho_k^{(l)}(x_s - \mu_{1k}^{(l)})}{\sigma_k^{(l)}} + [B - \rho_k^{(l)}A] \right] = 0 \quad (14)$$

where,  $t_k(x_s, y_s; \theta^{(l)})$  is given in equation (13), A and B are as given in equations (3) and (4) respectively.

The updated equations for  $\sigma_{1k}^2$  at  $(l+1)$ th iteration is

$$\sum_{s=1}^N t_k(x_s, y_s; \theta^{(l)}) \left[ \left[ \left( \frac{x_s - \mu_{1k}^{(l)}}{\sigma_{1k}^{(l+1)}} \right)^2 - \frac{\rho_k(x_s - \mu_{1k}^{(l)})(y_s - \mu_{2k}^{(l)})}{\sigma_{1k}^{(l+1)}\sigma_{2k}^{(l)}} \right] - D + \rho_k^{(l)}E \right] = 0 \quad (15)$$

where,  $t_k(x_s, y_s; \theta^{(l)})$  is given in equation (13),

$$D = \pi\sigma_{1k}\sigma_{2k} + \sigma_{1k}\sigma_{2k}c^{-1} \rho_k \left[ \phi(A_1) [\phi((A_1) - \rho_k(A_1))c] - \phi((B_1) - \rho_k(A_1))c \right] \\ - \phi(B_1) [\phi((A_1) - \rho_k(B_1))c] - \phi((B_1) - \rho_k(B_1))c \Big] \\ + \sigma_{2k}(\rho_k^2 - 1)(a_1 - \mu_{1k})\phi(A_1) [\Phi((A_1) - \rho_k(A_1))c] - \Phi((B_1) - \rho_k(A_1))c \Big] \\ + \sigma_{2k}(\rho_k^2 + 1)(b_1 - \mu_{1k})\phi(B_1) [\Phi((A_1) - \rho_k(B_1))c] - \Phi((B_1) - \rho_k(B_1))c \Big]$$

and

$$E = \rho_k \pi \sigma_{1k} \sigma_{2k} + \sigma_{1k} \sigma_{2k} c^{-1} \left[ \phi(A_1) [\phi((A_2) - \rho_k(A_1))c] - \phi((B_2) - \rho_k(A_1))c \right] \\ - \phi(B_1) [\phi((A_2) - \rho_k(B_1))c] - \phi((B_2) - \rho_k(B_1))c \Big] \\ + \rho_k \sigma_{1k} \left[ (a_2 - \mu_{2k})\phi(A_2) [\Phi((A_1) - \rho_k(A_2))c] - \Phi((B_1) - \rho_k(A_2))c \right] \\ + (b_2 - \mu_{2k})\phi(B_2) [\Phi((A_1) - \rho_k(B_2))c] - \Phi((B_1) - \rho_k(B_2))c \Big] \\ + \rho_k \sigma_{2k} \left[ (b_1 - \mu_{1k})\phi(B_1) [\Phi((A_2) - \rho_k(B_1))c] - \Phi((B_2) - \rho_k(B_1))c \right] \\ + (a_1 - \mu_{1k})\phi(A_1) [\Phi((A_2) - \rho_k(A_1))c] - \Phi((B_2) - \rho_k(A_1))c \Big],$$

Similarly the updated equation for  $\sigma_{2k}^2$  at  $(l+1)$ th iteration is

$$\sum_{s=1}^N t_k(x_s, y_s; \theta^{(l)}) \left[ \left[ \left( \frac{y_s - \mu_{2k}^{(l)}}{\sigma_{2k}^{(l+1)}} \right)^2 - \frac{\rho_k(x_s - \mu_{1k}^{(l)})(y_s - \mu_{2k}^{(l)})}{\sigma_{1k}^{(l)}\sigma_{2k}^{(l+1)}} \right] - G + \rho_k^{(l)}E \right] = 0 \quad (16)$$

where,  $t_k(x_s, y_s; \theta^{(l)})$  is given in equation (13), E is given in equation (15) and

$$G = \pi\sigma_{1k}\sigma_{2k} + \sigma_{1k}\sigma_{2k}c^{-1} \rho_k \left[ \phi(A_2) [\phi((A_2) - \rho_k(A_2))c] - \phi((B_2) - \rho_k(A_2))c \right] \\ - \phi(B_2) [\phi((A_2) - \rho_k(B_2))c] - \phi((B_2) - \rho_k(B_2))c \Big] \\ + \sigma_{1k}(\rho_k^2 - 1)(a_2 - \mu_{2k})\phi(A_2) [\Phi((A_2) - \rho_k(A_2))c] - \Phi((B_2) - \rho_k(A_2))c \Big] \\ + \sigma_{1k}(\rho_k^2 + 1)(b_2 - \mu_{2k})\phi(B_2) [\Phi((A_2) - \rho_k(B_2))c] - \Phi((B_2) - \rho_k(B_2))c \Big]$$

The updated equation for estimating  $\rho_k$  is

$$\sum_{s=1}^N t_k(x_s, y_s; \theta^{(l)}) \left[ -\frac{\rho_k}{(1-\rho_k^2)^2} \left[ \left( \frac{x_s - \mu_{1k}}{\sigma_{1k}} \right)^2 + \left( \frac{y_s - \mu_{2k}}{\sigma_{2k}} \right)^2 \right] \right. \\ \left. - \frac{1+\rho_k^2}{(1-\rho_k^2)^2} \left[ \left( \frac{x_s - \mu_{1k}}{\sigma_{1k}} \right) \left( \frac{y_s - \mu_{2k}}{\sigma_{2k}} \right) \right] + \frac{\rho_k(D+F)}{(1-\rho_k^2)^2} + \frac{(1+\rho_k^2)E}{(1-\rho_k^2)^2} \right] = 0 \quad (17)$$

where,  $t_k(x_s, y_s; \theta^{(l)})$  is given in equation (13), D, E are given in equation (15)

and

$$F = \pi\sigma_{1k}\sigma_{2k} - \sigma_{1k} \left[ (a_2 - \mu_{2k})\phi(A_2) [\Phi((A_2) - \rho_k(A_2))c] - \Phi((B_2) - \rho_k(A_2))c \right] \\ - (b_2 - \mu_{2k})\phi(B_2) [\Phi((A_2) - \rho_k(B_2))c] - \Phi((B_2) - \rho_k(B_2))c \Big] \\ + \sigma_{1k}\rho_k^2 \left[ (a_2 - \mu_{2k})\phi(A_2) [\Phi((A_2) - \rho_k(A_2))c] - \Phi((B_2) - \rho_k(A_2))c \right] \\ + (b_2 - \mu_{2k})\phi(B_2) [\Phi((A_2) - \rho_k(B_2))c] - \Phi((B_2) - \rho_k(B_2))c \Big] \\ + \sigma_{1k}\sigma_{2k}\rho_k c^{-1} \left[ \phi(A_2) [\phi((A_2) - \rho_k(A_2))c] - \phi((B_2) - \rho_k(A_2))c \right] \\ - \phi(B_2) [\phi((A_2) - \rho_k(B_2))c] - \phi((B_2) - \rho_k(B_2))c \Big]$$

where,

$$A_1 = \frac{a_1 - \mu_{1k}}{\sigma_{1k}}, A_2 = \frac{a_2 - \mu_{2k}}{\sigma_{2k}}, B_1 = \frac{b_1 - \mu_{1k}}{\sigma_{1k}}, \text{ and } B_2 = \frac{b_2 - \mu_{2k}}{\sigma_{2k}}$$

Solving equations (13), (14), (15), (16) and (17) iteratively using numerical methods we get the revised estimates of  $\mu_{1k}, \mu_{2k}, \sigma_{1k}^2, \sigma_{2k}^2$  and  $\rho_k$ .

#### 4. INITIALIZATION OF THE PARAMETERS BY K-MEANS

The efficiency of the EM-algorithm in estimating the parameters is heavily dependent on the number of regions in the image. The number of mixture components initially taken for K-means algorithm is by plotting the histogram of the pixel intensities of the whole image. The number of peaks in the histogram can be taken as the initial value of the number of regions K. Usually the mixing parameter  $\alpha_k$  and the region parameters  $\mu_{1k}, \mu_{2k}, \sigma_{1k}^2, \sigma_{2k}^2$ ,

$\rho_k$  are unknown. A commonly used method in initialization is by drawing a random sample in the entire image data (Mclanchlan G. and Krishnan T. (1997), Mclanchlan G. and Peel D. (2000)). This method perform well only when the sample size is large, and the computation time is heavily increased. When the sample size is small it is likely that some small regions may not be sampled. To overcome this problem, we use K-means algorithm (Rose H. Turi (2001)) to divide the whole image into various homogeneous regions.

After determining the value of  $K$  (number of regions), and dividing the feature vectors of image pixels in the whole region into  $K$  regions. We obtain the initial estimates of the parameters  $\mu_{1k}$ ,

$\mu_{2k}$ ,  $\sigma_{1k}^2$ ,  $\sigma_{2k}^2$ ,  $\rho_k$  and  $\alpha_k$  for the  $K^{\text{th}}$  region and with the movement method of estimators given by Bengt Muthen (1990) for Truncated Bivariate Normal Distribution with initial parameters as  $\alpha_i = 1/K$  for  $i=1,2,\dots,K$

$\mu_{1k} = (\bar{x}_k - b_1)$ , where,  $b_1$  is the left truncated point for Hue and  $\bar{x}_{1k}$  is the  $K^{\text{th}}$  region sample mean of the Hue angle.

$\mu_{2k} = (\bar{y}_k - b_2)$ , where,  $b_2$  is the left truncated point for Saturation and  $\bar{y}_{1k}$  is the  $k^{\text{th}}$  region sample mean of the Saturation.

$\sigma_{1k} = S_{1k}$  (Sample Standard Deviation of the  $K^{\text{th}}$  segment of Hue)  
 $\sigma_{2k} = S_{2k}$  (Sample Standard Deviation of the  $K^{\text{th}}$  segment of Saturation)

$\rho_k$  is the correlation coefficient between Hue and Saturation of the  $k^{\text{th}}$  image region.

Substituting these values as the initial estimates, we obtain the refined estimates of the parameters by using the EM-algorithm.

## 5. SEGMENTATION ALGORITHM

In this section we present the image segmentation algorithm. After refining the parameters the prime step in image segmentation is allocating the pixels to the segments of the image. This operation is performed by Segmentation Algorithm. The image segmentation algorithm consists of four steps.

**Step 1)** Plot the histogram of the whole image.

**Step 2)** Obtain the initial estimates of the model parameters using K-means algorithm and moment estimators as discussed in section 4.

**Step 3)** Obtain the refined estimates of the model parameters by using the EM-algorithm with the updated equations given by (12), (13), (14), (15),(16) and (17) respectively in section 3.

**Step 4)** Assign each pixel into the corresponding  $j^{\text{th}}$  region (segment) according to the component Maximum likelihood of the  $j^{\text{th}}$  component  $L_j$ . That is,

$$L_j = \max_{j \in k} \left\{ \frac{\exp \left\{ \frac{-1}{2(1-\rho_k^2)} \left[ \left( \frac{x_s - \mu_{1k}}{\sigma_{1k}} \right)^2 - 2\rho_k \left( \frac{x_s - \mu_{1k}}{\sigma_{1k}} \right) \left( \frac{y_s - \mu_{2k}}{\sigma_{2k}} \right) + \left( \frac{y_s - \mu_{2k}}{\sigma_{2k}} \right)^2 \right] \right\}}{2\pi\sigma_{1k}\sigma_{2k}\sqrt{1-\rho_k^2}} \int_{b_1}^{a_1} \int_{b_2}^{a_2} f_k(x, y; \theta) dx dy \right\}$$

## 6. EXPERIMENTAL RESULTS

In order to evaluate the performance of the proposed image segmentation algorithm with bivariate Truncated Gaussian Mixture model it has been applied to six images namely, OSTRICH, POT, TOWER, BEARS, DEER and BIRD are taken from Berkeley images dataset. (<http://www.eecs.berkeley.edu/Research/Projects/CS/vision/bsds/BSDS300/html/dataset/images.html>). The pixels feature vector of the whole image are taken as input for image segmentation. It is assumed that in each image region the feature vector follows a Doubly Truncated Bivariate Gaussian distribution

with different parameters. The number of segments in each of the six images considered for experimentation is determined by the histogram of pixel intensities. The histograms of the pixel intensities of the six images are shown in Figure 1.

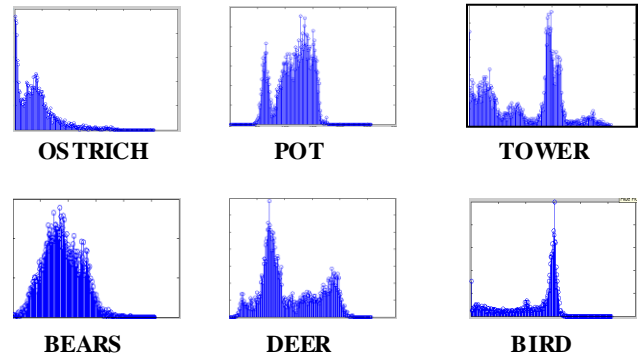


Figure 1: Histograms of the Images

From the histograms given in Figure 1, the initial estimates of the number of regions in each image ( $K$ ) are obtained and given in Table 1.

Table 1: Initial Estimates of  $K$

IMAGE	OSTRICH	POT	TOWER	BEARS	DEER	BIRD
Estimate of K	2	3	4	2	4	3

From Table 1, we observe that the images OSTRICH and BEARS have two segments, images POT and BIRD have three segments each and images TOWER and DEER has four segments. After assigning these initial values of  $K$  to each image data set, the K-means algorithm is performed. The initial values of the model parameters  $\mu_{1i}$ ,  $\mu_{2i}$ ,  $\sigma_{1i}^2$ ,  $\sigma_{2i}^2$ ,  $\rho_i$  and  $\alpha_i$  for  $i=1,2,\dots,K$  for each image region of the images are computed by using the method given in section (3.4). Using these initial estimates, the refined estimates of the model parameters for each image region are obtained by using EM-algorithm given in section 3.3. The computed values of the initial estimates and the final estimates of the model parameters  $K$ ,  $\mu_{1i}$ ,  $\mu_{2i}$ ,  $\sigma_{1i}^2$ ,  $\sigma_{2i}^2$ ,  $\rho_i$  and  $\alpha_i$  for  $i=1,2,\dots,K$  for each image are obtained and presented in Tables 2.a, 2.b, 2.c, 2.d, 2.e and 2.f. for different images .

Table-2.a : Estimated Values of The Parameters For OSTRICH Image

Estimation of Initial Parameters		Estimation of Final Parameters by EM-Algorithm			
Regions(i)		Number of Image Regions ( $K = 2$ )		Number of Image Regions ( $K = 2$ )	
		1	2	1	2
Weights	$\alpha_i$	1/2	1/2	0.2627	0.7373
Means	$\mu_{1i}$	0.1781	0.1940	0.1524	0.3100
	$\mu_{2i}$	0.3321	0.7613	0.4497	0.4195
Variances	$\sigma_{1i}^2$	0.0016	0.0004	0.0306	0.0342
	$\sigma_{2i}^2$	0.0126	0.0207	0.0255	0.9525
Correlation Coefficient	$\rho_i$	-0.4310	0.6996	0.4003	0.1413
Minimum Hue Value ( $b_1$ ) = 0.0662		Maximum Hue Value ( $a_1$ ) = 0.3611			
Minimum Saturation Value ( $b_2$ ) = 0.0930		Maximum Saturation Value ( $a_2$ ) = 1.0000			

**Table-2.b : Estimated Values of The Parameters For POT Image**

Estimation of Initial Parameters				Estimation of Final Parameters by EM-Algorithm			
Regions (i)		Number of Image Regions (K=3)			Number of Image Regions (K=3)		
		1	2	3	1	2	3
Weights	$\alpha_i$	1/3	1/3	1/3	0.4646	0.2266	0.3088
Means	$\mu_{1i}$	0.5532	0.4946	0.1517	0.5602	0.6073	0.5686
	$\mu_{2i}$	0.2168	0.1125	0.1219	0.3367	0.5654	0.4251
Variances	$\sigma_{1i}^2$	0.0004	0.0027	0.0029	0.1629	0.4147	0.9292
	$\sigma_{2i}^2$	0.0008	0.0018	0.0035	0.5247	1.4166	0.3976
Correlation Coefficient	$\rho_i$	0.1666	0.3570	-0.7230	-0.1097	0.0596	-0.7175
Minimum Hue Value (b <sub>1</sub> ) = 0				Maximum Hue Value (a <sub>1</sub> ) = 0.6154			
Minimum Saturation Value (b <sub>2</sub> ) = 0				Maximum Saturation Value (a <sub>2</sub> ) = 0.3456			

**Table-2.e: Estimated Values of The Parameters For DEER Image**

Estimation of Initial Parameters				Estimation of Final Parameters by EM-Algorithm					
Regions(i)		Number of Image Regions (K=4)				Number of Image Regions (K=4)			
		1	2	3	4	1	2	3	4
Weights	$\alpha_i$	1/4	1/4	1/4	1/4	0.0704	0.4769	0.2771	0.1756
Means	$\mu_{1i}$	0.1299	0.1144	0.2324	0.3016	0.1779	0.4502	0.4897	0.3418
	$\mu_{2i}$	0.6989	0.4560	0.2354	0.1262	0.5062	0.5224	0.5300	0.6852
Variances	$\sigma_{1i}^2$	0.0004	0.0001	0.0015	0.0026	0.0248	0.2063	0.1541	0.1601
	$\sigma_{2i}^2$	0.0104	0.0018	0.0019	0.0011	0.2063	0.0272	0.1693	0.0258
Correlation Coefficient	$\rho_i$	-0.1355	0.0338	-0.0833	-0.0591	-0.1669	0.0066	0.5296	0.6843
Minimum Hue Value (b <sub>1</sub> ) = 0				Maximum Hue Value (a <sub>1</sub> ) = 0.5152					
Minimum Saturation Value (b <sub>2</sub> ) = 0				Maximum Saturation Value (a <sub>2</sub> ) = 1.0000					

**Table-2.c: Estimated Values of The Parameters For TOWER Image**

Estimation of Initial Parameters				Estimation of Final Parameters by EM-Algorithm					
Regions(i)		Number of Image Regions (K=4)				Number of Image Regions (K=4)			
		1	2	3	4	1	2	3	4
Weights	$\alpha_i$	1/4	1/4	1/4	1/4	0.1999	0.1523	0.1872	0.4606
Means	$\mu_{1i}$	0.1519	0.5699	0.1505	0.5738	0.9545	0.6581	0.1677	0.5905
	$\mu_{2i}$	0.1937	0.2789	0.6176	0.7724	0.3257	0.8931	0.5290	0.1014
Variances	$\sigma_{1i}^2$	0.0033	0.0073	0.0011	0.0006	1.1972	0.0192	0.2460	0.0109
	$\sigma_{2i}^2$	0.0148	0.0148	0.0291	0.0059	0.0499	0.3421	0.6459	1.6882
Correlation Coefficient	$\rho_i$	-0.1561	-0.0259	0.0386	-0.1086	-0.5562	-0.8871	-0.5036	-0.5998
Minimum Hue Value (b <sub>1</sub> ) = 0				Maximum Hue Value (a <sub>1</sub> ) = 0.9815					
Minimum Saturation Value (b <sub>2</sub> ) = 0				Maximum Saturation Value (a <sub>2</sub> ) = 1.0000					

**Table-2.f :Estimated Values of The Parameters For BIRD Image**

Estimation of Initial Parameters				Estimation of Final Parameters by EM-Algorithm			
Regions (i)		Number of Image Regions (K=3)			Number of Image Regions (K=3)		
		1	2	3	1	2	3
Weights	$\alpha_i$	1/3	1/3	1/3	0.1029	0.6941	0.2030
Means	$\mu_{1i}$	0.1290	0.5948	0.1425	0.2004	0.2001	0.2560
	$\mu_{2i}$	0.6899	0.1143	0.2136	0.7123	0.4110	0.3981
Variances	$\sigma_{1i}^2$	0.0047	0.0029	0.0048	0.0163	1.9013	0.0207
	$\sigma_{2i}^2$	0.0330	0.0015	0.0150	1.9013	0.2316	1.7088
Correlation Coefficient	$\rho_i$	0.0834	-0.0504	-0.1409	0.4033	0.0460	0.5787
Minimum Hue Value (b <sub>1</sub> ) = 0				Maximum Hue Value (a <sub>1</sub> ) = 0.9583			
Minimum Saturation Value (b <sub>2</sub> ) = 0				Maximum Saturation Value (a <sub>2</sub> ) = 1.0000			

**Table-2.d : Estimated Values of The Parameters For BEARS Image**

Estimation of Initial Parameters			Estimation of Final Parameters by EM-Algorithm		
Regions(i)		Number of Image Regions (K=2)		Number of Image Regions (K=2)	
		1	2	1	2
Weights	$\alpha_i$	1/2	1/2	0.4531	0.5469
Means	$\mu_{1i}$	0.4787	0.2364	0.5553	0.8947
	$\mu_{2i}$	0.4532	0.2600	0.9112	0.9711
Variances	$\sigma_{1i}^2$	0.0027	0.0154	0.0177	0.6701
	$\sigma_{2i}^2$	0.0129	0.0170	0.6701	0.3924
Correlation Coefficient	$\rho_i$	0.2044	-0.6378	-0.4916	0.3233
Minimum Hue Value (b <sub>1</sub> ) = 0			Maximum Hue Value (a <sub>1</sub> ) = 0.9815		
Minimum Saturation Value (b <sub>2</sub> ) = 0			Maximum Saturation Value (a <sub>2</sub> ) = 1.0000		

Substituting the final estimates of the model parameters, the probability density function of the feature vector of each image are estimated.

Using the estimated probability density functions and the image segmentation algorithm given in section 5, the image segmentation is done for each of the six images under consideration. The original and segmented images are shown in Figure 2.



**Figure 2: Original and Segmented Images**

## 7. PERFORMANCE EVALUATION

The developed algorithm has been tested on variety of colour images using Berkeley data set images. The performance of the developed algorithm is compared with the segmentation method based on GMM with K-means given by Srinivas Y. et al (2007). The comparison is based on four performance measures namely, Probabilistic Rand Index (PRI) given by Unnikrishnan R. and et al (2007), the Variation of Information (VOI) given by Meila M. (2005), and Global Consistency Error(GCE) given by Martin D. and et al (2001). The objective of the segmentation methods are based on regional similarity measures in relations to their local neighborhood. The qualitative meaning of these performance measures are recalled as follows.

The performance of developed algorithm using finite doubly truncated bivariate Gaussian mixture model (FDTBGMM) is studied by computing the segmentation performance measures namely, PRI, GCE and VOI for the six images under study. The computed values of the performance measures for the developed algorithm and the earlier existing finite Gaussian mixture model (GMM) with K-means algorithm are presented in Table 3 for a comparative study.

**Table 3: Segmentation Performance Measures**

IMAGE	METHOD	PERFORMANCE MEASURES		
		PRI	GCE	VOI
OSTRICH	GMM	0.9234	0.4317	2.2761
	FDTBGMM with K-means	0.9808	0.3613	0.9461
POT	GMM	0.9456	0.4281	2.5973
	FDTBGMM with K-means	0.9797	0.3984	1.7885
TOWER	GMM	0.9615	0.4469	3.7121
	FDTBGMM with K-means	0.9822	0.4226	2.6086
BEARS	GMM	0.9121	0.4418	3.2693
	FDTBGMM with K-means	0.9832	0.4330	2.6012
DEER	GMM	0.9774	0.4829	2.2863
	FDTBGMM with K-means	0.9849	0.3990	1.2836
BIRD	GMM	0.9673	0.4671	2.7197
	FDTBGMM with K-means	0.9719	0.4193	2.3698

From the above Table 3, it is observed that the developed algorithm is having high PRI and low GCE, VOI compared to finite GMM. This reveals that the proposed algorithm outperforms the existing algorithm based on the Finite Gaussian Mixture Model.

The developed image model and segmentation can also used to reconstruct the image. The Performance Evaluation of the retrieved image is done by Subjective Image Quality testing or by Objective Image Quality testing. The Objective Image Quality testing methods are often used since the numerical results of an objective measure are readily computed and allow a consistence comparison of different algorithms. There are several Image Quality measures available for Performance Evaluation of the Image Segmentation method. An extensive survey of Quality Measures is given by Eskicioglu A.M. and Fisher P.S. (1995). For the Performance Evaluation of the developed Segmentation algorithm, we consider the Image Quality Measures namely (a) Maximum Distance, (b)

Image Fidelity, (c) Mean Square Error, (d) Signal to Noise Ratio and (e) Image Quality Index. Using the estimated probability density functions of the images under consideration the retrieved images are obtained and shown in Figure 3.



**Figure 3: Original and Retrieved Images**

The image quality metrics are computed for the six retrieved images OSTRICH, POT, TOWER, BEARS, DEER and BIRD. Using the proposed model and finite GMM with K-means and their values are given in the Table 4.

**Table-4: Comparative Study of Image Quality Metrics**

IMAGE	Quality Metrics	GMM	FDTBGMM using K-Means	Standard Limits	Optimal Criteria
OSTRICH	Maximum Distance	0.5013	0.4239	-1 to +1	Close to 1
	Image Fidelity	0.7910	0.9088	0 to +1	Close to 1
	Mean Square Error	0.0932	0.0336	0 to ∞	Close to 0
	Signal to Noise Ratio	13.3781	15.0687	0 to ∞	As big as possible
	Image Quality Index	0.8102	0.8857	-1 to +1	Close to 1
POT	Maximum Distance	0.3290	0.4089	-1 to +1	Close to 1
	Image Fidelity	0.6729	0.6762	0 to +1	Close to 1
	Mean Square Error	0.0738	0.0465	0 to ∞	Close to 0
	Signal to Noise Ratio	11.7401	12.9939	0 to ∞	As big as possible
TOWER	Image Quality Index	0.6075	0.6187	-1 to +1	Close to 1
	Maximum Distance	0.8481	0.9031	-1 to +1	Close to 1
	Image Fidelity	0.5217	0.5571	0 to +1	Close to 1
	Mean Square Error	0.2101	0.1227	0 to ∞	Close to 0
BEARS	Signal to Noise Ratio	8.8724	9.5971	0 to ∞	As big as possible
	Image Quality Index	0.6271	0.5965	-1 to +1	Close to 1
	Maximum Distance	0.5387	0.7991	-1 to +1	Close to 1
	Image Fidelity	0.4277	0.6718	0 to +1	Close to 1
DEER	Mean Square Error	0.0872	0.0465	0 to ∞	Close to 0
	Signal to Noise Ratio	9.1217	10.8391	0 to ∞	As big as possible
	Image Quality Index	0.5951	0.6163	-1 to +1	Close to 1
	Maximum Distance	0.6217	0.6280	-1 to +1	Close to 1
BIRD	Image Fidelity	0.3982	0.4229	0 to +1	Close to 1
	Mean Square Error	0.0828	0.0564	0 to ∞	Close to 0
	Signal to Noise Ratio	10.0629	11.7962	0 to ∞	As big as possible
	Image Quality Index	0.3763	0.3684	-1 to +1	Close to 1
BIRD	Maximum Distance	0.8429	0.9347	-1 to +1	Close to 1
	Image Fidelity	0.1920	0.1737	0 to +1	Close to 1
	Mean Square Error	0.2013	0.0710	0 to ∞	Close to 0
	Signal to Noise Ratio	8.9231	9.0589	0 to ∞	As big as possible
BIRD	Image Quality Index	0.3481	0.3633	-1 to +1	Close to 1

From Table 4, it is observed that all the image quality metrics for the six images are meeting the standard criteria. This implies that using the proposed algorithm the images are retrieved accurately. A comparative study of proposed algorithm with that of algorithm based on Finite Gaussian Mixture Model reveals that the Mean Square Error of the proposed model is less than that of the Finite Gaussian Mixture Model. Based on all other quality metrics also it is observed that the performance of the proposed model in retrieving the images is better than the Finite Gaussian Mixture Model.

## 8. CONCLUSION

In this paper a new image segmentation algorithm is developed and analyzed based on Finite doubly truncated bivariate Gaussian mixture distribution. Here two important characters of the colour image namely, Hue and Saturation are considered as feature vector. Using EM algorithm the parameters are estimated, the K-means algorithm is used to obtain the initial estimates. The segmentation algorithm is developed with component maximum likelihood. The experimentation with Berkeley colour images reveals that this algorithm outperforms the existing algorithms in both image segmentation and image retrievals. The image quality metrics also supported the utility of the proposed algorithm. It is possible to develop image segmentation algorithm with finite mixture of doubly truncated multivariate Gaussian distribution with more image features which require further investigations.

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